

FINES AND SUSPENSIONS BULLETIN

Fri, Nov 6 - Thu, Nov 12

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

GLISPIE, K DEAN
USTA on 9/29/2020

SEDALIA, OH **YOB 1962**
RESTORED TO GOOD STANDING(RES) AS OF
11/6/2020

USTA MEMBERSHIP ACTION

Membership suspended for failure to answer correspondence regarding financial responsibility complaint (civil court judgment).

Status as of 11/6/2020 - Subsequent Ruling - Restored to good standing.

PLUTA, KIM S
USTA on 11/3/2020

JACKSON, MI **YOB 1970**
RESTORED TO GOOD STANDING(RES) AS OF
11/9/2020

USTA MEMBERSHIP ACTION

Membership suspended for failure to answer correspondence regarding financial responsibility complaint.

Status as of 11/9/2020 - Subsequent Ruling - Restored to good standing.

YODER, MERLE E
USTA on 11/3/2020

TOPEKA, IN **YOB 1961**
RESTORED TO GOOD STANDING(RES) AS OF
11/9/2020

USTA MEMBERSHIP ACTION

Membership denied pursuant to the provisions of Article 1, Section 4(a) of the Association bylaws.

Status as of 11/9/2020 - Subsequent Ruling - Restored to good standing.

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

ADAMS, BREWER
PRC on 10/15/2020

HAVERTHILL, MA **YOB 1975**
FULL - 30 DAYS, 11/6/2020 THRU 12/5/2020
HORSE DISQUALIFIED - PLACED 7, PURSE
REDISTRIBUTION

POSITIVE TEST- PRE RACE

205 CMR 3.29. On October 27, 2020, Industrial Laboratories reported a TCO2 overage for the horse, NAUGHTY MARAVU N, from a pre-race sample taken at PRC on 10/15/2020, for Race 10. After being notified Mr. Adams waived his right to quarantine the horse. After conducting a hearing on 11/06/2020, Trainer Brewer Adams is hereby

suspended for 30 days beginning Friday 11/06/2020 through and including 12/05/2020. Mr. Adams is ordered to return all purse monies won (\$5,000.00). All penalties are due within 48 hours of imposition.

ANNUNZIATA, MICHAEL
PHL on 10/2/2020

MAHOPAC, NY **YOB 1977**
RESTORED TO GOOD STANDING(RES) AS OF
11/6/2020

FAILURE TO PAY FINE(S)

PA Code Title 7- 179.38(c) 205.502 179.22 Mr. Annunziata is issued an indefinite full suspension (commencing 11/13/2020) for failure to pay the fine from ruling 20043CD (\$200). Mr. Annunziata shall remain under a full suspension until this fine is paid in full. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a \$750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA 17110 ATTN: Anthony Salerno
Status as of 11/6/2020 - Subsequent Ruling - Ruling 20071CD is rescinded as it was written in error.

BUTENSCHOEN, JOHN R
Fhld on 9/11/2020

WIND GAP, PA **YOB 1963**
FINED: \$500
FULL - 15 DAYS, 11/8/2020 THRU 11/22/2020

POSITIVE TEST- POST RACE

John Butenschoen was the programmed trainer of the horse "KYRIE DEO" when he raced in the fifth race at Freehold Raceway on September 11, 2020. Following this race "KYRIE DEO" was required to submit blood samples for analysis. A report from Truesdail laboratories identified the presence of the drug Flunixin above the allowable limits. This is in direct violation of N.J.A.C. 13:71-23.8(f). As a result, Mr. Butenschoen is hereby issued a full suspension of his New Jersey Racing Commission license for a period of fifteen (15) days, and fined the sum of five-hundred (\$500.00) dollars. Said suspension shall begin on November 8, 2020 and run through November 22, 2020 inclusive. During the period of suspension, Mr. Butenschoen is denied access to all grounds that are under the jurisdiction of the New Jersey Racing Commission for any and all purposes. Consistent with N.J.A.C. 13:71-23.7 the horse "KYRIE DEO" is disqualified from sharing in all purse monies earned on September 11, 2020.

CAMPBELL, GARY R
Lex on 9/22/2020

DELEON SPRINGS, FL **YOB 1966**
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

810 KAR 8:010 Sec.2(2)(a)(3)(5)(a)(b)--Industrial Laboratory reported to the Kentucky Horse Racing Commission that blood sample E378088 taken from the horse "Seriously Hanover" revealed the presence of Hydrocortisone Hemisuccinate. Trainer was Gary Campbell. Therefore, due to mitigating circumstances Gary Campbell will be issued a warning only. The purse money or \$3300.00 is hereby ordered returned, forfeited and redistributed in the following manner. "Seriously Hanover" finished 1st and is placed

last. "Lucksrealdeal" finished 2nd. placed 1st. "Major Legacy" finished 3rd. placed 2nd. "Mountain High" finished 4th. placed 3rd. "Fuel The Joe" finished 5th. placed 4th. "Cheekie Vic" finished 6th. placed 5th.

MCCANN, JAMES J

HOWARD BEACH, NY

YOB 1993

Fhld on 10/7/2020

OTHER

On November 11, 2018 by ruling #18FRE71, Mr. McCann was suspended for financial irresponsibility. The suspension is terminated. N.J.A.C. 13:71-1.21.

OFFUTT, CHRISTOPHER LEE

WOODSBORO, MD

YOB 1985

OD on 9/12/2020

TRAINING - 15 DAYS, 12/9/2020 THRU
12/23/2020

HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

Christopher Offutt for being trainer of record on sample #34570 taken on September 12, 2020 from "PAISLEES FUTURE". Sample was found to contain o-desmethyltramadol. PAISLEES FUTURE finished 2nd, is disqualified and ineligible for any purse monies this race. Trainer Christopher Offutt is suspended from training for 15 days 12/9/2020 - 12/23/2020. Purse to be redistributed. COMAR: .09.10.04 C(1)E

STAFFORD, TREVOR N

GREENSBORO, MD

YOB 1977

OD on 9/11/2020

FULL - 90 DAYS, 11/12/2020 THRU
2/9/2021...**STAYED**

HORSE DISQUALIFIED - PLACED 7, PURSE
REDISTRIBUTION...**STAYED**

POSITIVE TEST- POST RACE

Trainer Trevor Stafford for being trainer of record on sample #34555 taken on September 11, 2020 from "HEADS ARE GONNA TURN" at Ocean Downs. Sample was found to contain the drug Oxilofrine (Class 2 Penalty A). "HEADS ARE GONNA TURN" finished first, is disqualified and placed last. Due to mitigating factors, Mr. Stafford is issued a 90-day full suspension (11/12/2020 - 2/9/2021). During this suspension, Mr. Stafford and all horses trained and/or owned by him are barred from all grounds under the jurisdiction of MRC. Any transfer of horses during this suspension must be approved by the Judges at Rosecroft or MRC. All purse monies must be returned within 10 days of this issuance. Purse to be redistributed. COMAR 09.10.03.04 B(1) C(1)

Status as of 11/12/2020 - Stay - Stay has been granted.

SWEDE, DOUGLAS G

PAVILION, NY

YOB 1948

PcD on 7/5/2020

RESTORED TO GOOD STANDING(RES) AS OF
11/11/2020

FAILURE TO OBTAIN PROPER LICENSE

PA title 7-201.33(e) Mr. Swede has failed to complete his licensing requirements in Pennsylvania for 2020. He is fined \$50.00 and suspended indefinitely starting on

10/15/20 and will continue to be suspended until he pays his fine and completes his licensing requirements.

Status as of 11/11/2020 - Subsequent Ruling - PA Title 7-203.11(e) Mr. Swede has completed his licensing requirements in Pennsylvania and has paid his fine. As of 11/11/20 he is restored to good standing.

WHITE, STEPHEN K
HoP on 10/7/2020

CLARKSVILLE, PA

YOB 1971

FINED: \$1,000

HORSE DISQUALIFIED - PLACED 10, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

71 IAC 5-3-2(a)(2), 71 IAC 8-1-4.2(10) Sample #E376202 taken from horse SWAN BEFORE ALL after the fourth race on 10/07/2020 tested positive for Dexamethasone at 36.6 pg/mL, allowable threshold 5 pg/mL, which is a violation of the IHRC trainer responsibility rule and the medication rules. Purse amount of \$2,750.00 to be returned and redistributed.

HoP on 10/16/2020

HORSE DISQUALIFIED - PLACED 7, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

71 IAC 5-3-2(a)(2), 71 IAC 8-1-4.2(10) Sample #E376333 taken from horse IDEAL ACE after the fifth race on 10/16/2020 tested positive for Dexamethasone at 47.0 pg/mL, allowable threshold 5 pg/mL, which is a violation of the IHRC trainer responsibility rule and the medication rules. Purse amount of \$9,120.00 to be returned and redistributed. Mitigating circumstances were considered when determining the penalty.

WILLIS, NELSON

BEECHER, IL

YOB 1943

DTN on 10/16/2020

FINED: \$250

POSITIVE TEST- POST RACE

Dayton Raceway Trainer NELSON WILLIS is hereby fined the sum of two hundred and fifty dollars (\$250.00) for violation of OSRC Rule 3769-18.01(A)(2), 3769-18-01(B)(1), 3769-18-01(B)(15-16-17), 3769-18-02 & 3769-18-99. Blood sample #885345 on horse JAZZIE BABE tested by the Analytical Toxicology Laboratory at the Ohio Department of Agriculture on October 23, 2020 was found to contain phenylbutazone at a concentration level 3.4 + 0.03 micrograms per milliliter of plasma, which exceeds the threshold of 2.0 micrograms per milliliter adopted by the OSRC. If the fine is not paid to the judges by 11/14/2020 you may be suspended and or license revoked by the O.S.R.C.

PART THREE - WHIPPING VIOLATIONS

BATES, BRANDON L

MONROE, IN

YOB 1975

HoP on 10/31/2020

FINED: \$300

INAPPROPRIATE USE OF THE WHIP

OAKGR on 11/3/2020

FINED: \$500

PROBATIONARY(PRO) AS OF 11/10/2020

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

810 KAR 5:070 Sec.9(3)(b)---Using the whip in a manner other than that prescribed in the Kentucky Horse Racing Commission racing regulations which indicate wrist and minimal elbow movement. Further more, Tyler Shehan is place on a probationary status through the remainder of the Kentucky Horse Racing Commission Harness Racing Season for repeated offenses.

STALBAUM, LARRY D

MATAMORAS, PA

YOB 1963

Stga on 11/10/2020

FINED: \$250

USING THE WHIP IN UNCONVENTIONAL MANNER

While driving # 7 TALKING TOM in race # 4 at Saratoga Gaming on 11/10/20 Mr. Stalbaum did use his whip outside the confines of the sulky after the 3/4 pole and before the 7/8s mark. This is his 2nd violation regarding this rule, therefore, he has been fined \$ 250.00 . Any further violations in this area will result in a fine and suspension

STRATTON, CORY

MIDDLETOWN, NY

YOB 1992

MR on 11/10/2020

FINED: \$100

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

You did use your whip in an excessive manner in the stretch while driving #7 (Ohoka Johnny N) in Race 7. Any future violations of this nature will result in increased penalties.

PART FOUR - MINOR PENALTIES

BELTRAMI, ANTHONY S

BETHLEHEM, PA

YOB 1962

MR on 11/11/2020

FINED: \$100

KICKING A HORSE

CHARTRAND, ALEK R

SARATOGA SPRINGS, NY

YOB 1984

M on 10/23/2020

DRIVING - 3 DAYS, 10/29/2020 THRU
10/31/2020

JOSTLE, STRIKE OR HOOK WHEELS

CIUFFETELLI, ANTHONY A

GANSEVOORT, NY

YOB 1962

Stga on 11/9/2020

FINED: \$50

LATE DRIVER CHANGE

CRISSMAN, CHARLES F JR

DOVER, DE

YOB 1958

PHL on 9/30/2020

FINED: \$500

FAILURE TO PROVIDE ADEQUATE OR PROPER DECLARATION INFORMATION

DALIOUS, BARTON W

HARRINGTON, DE

YOB 1998

DD on 11/9/2020	FINED: \$300 HORSE PLACED 6	
INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK		
DEL CID, FRANCISCO PHL on 11/5/2020	TRENTON, NJ FINED: \$200 HORSE PLACED 8	YOB 1960
INSIDE THE EURO RAIL / PYLON VIOLATION		
GINSBURG, VINCENT A Fhld on 10/23/2020	JACKSON, NJ FINED: \$200	YOB 1988
OTHER		
GRAY, ALICIA E PRc on 11/12/2020	SARATOGA SPRINGS, NY FINED: \$150	YOB 1978
LATE TO THE LASIX/ DETENTION BARN		
HANNERS, LUKE DD on 11/5/2020	GREENWOOD, DE FINED: \$100	YOB 2000
FAILURE TO DRIVE WHEN PROGRAMMED		
KAHRIG, DANA R Mea on 11/2/2020	HICKORY, PA FINED: \$200	YOB 1988
LATE DRIVER CHANGE		
KING, SAMUEL U M on 10/23/2020	NEW HOLLAND, PA	YOB 1998
INSIDE THE EURO RAIL / PYLON VIOLATION		
MANN, WILLIAM P M on 10/24/2020	HELLERTOWN, PA FINED: \$300	YOB 1976
JOSTLE, STRIKE OR HOOK WHEELS		
MAROHN, ROY R M on 10/23/2020	MIDDLETOWN, NY DRIVING - 3 DAYS, 10/29/2020 THRU 10/31/2020	YOB 1955
JOSTLE, STRIKE OR HOOK WHEELS		
MARSHALL, JAMES F III Fhld on 10/23/2020	JACKSON, NJ FINED: \$50	YOB 1958
FAILURE TO COME UP/STAY IN POSITION		
MCCARTHY, TODD P DD on 11/5/2020	SWEDESBORO, NJ FINED: \$100	YOB 1993
FAILURE TO DRIVE WHEN PROGRAMMED		
MELANDER, MATTIAS V	NEW EGYPT, NJ	YOB 1996

M on 10/23/2020	FINED: \$300	
FAILURE TO COME UP/STAY IN POSITION		
MERTON, MICHAEL J	MONTICELLO, NY	YOB 1971
MR on 11/2/2020	DRIVING - 3 DAYS, 11/12/2020 THRU 11/14/2020	
CROWDING/PUTTING ANOTHER WHEEL UNDER ANOTHER HORSE OR DRIVER		
MOSHER, MARC G	WEST GROVE, PA	YOB 1968
PHL on 11/4/2020	FINED: \$200	
FAILURE TO KEEP HOLE CLOSED		
NAPOLITANO, GEORGE JR	BOCA RATON, FL	YOB 1966
M on 10/23/2020	FINED: \$300	
FAILURE TO COME UP/STAY IN POSITION		
PHL on 11/4/2020	FINED: \$200 HORSE PLACED 2	
CHANGING COURSE/CAUSING INTERFERENCE		
NORMAN, RICHARD J F	ALLENTOWN, NJ	YOB 1960
DD on 11/11/2020	FINED: \$100	
LATE TO THE PADDOCK		
OAKES, HUNTER WILLIAM	WILKES-BARRE, PA	YOB 1998
MR on 10/8/2020	DRIVING - 3 DAYS, 11/16/2020 THRU 11/18/2020	
CROWDING/PUTTING ANOTHER WHEEL UNDER ANOTHER HORSE OR DRIVER		
O'DEA, TODD M	WINCHENDON, MA	YOB 1971
PRc on 11/6/2020	FINED: \$100 HORSE PLACED 7	
INSIDE THE EURO RAIL / PYLON VIOLATION		
SMITH, TREVOR GENE	WASHINGTON CH, OH	YOB 1996
DTN on 10/31/2020	FINED: \$100	
FAILURE TO KEEP BOTH FEET IN THE STIRRUPS		
SWITZER, KEVIN P JR	WATERBORO, ME	YOB 1987
PRc on 11/6/2020	FINED: \$100	
CHANGING COURSE/CAUSING INTERFERENCE		
URBANSKI, JOHN JOSEPH	JACKSON, NJ	YOB 1971
Fhld on 10/23/2020		
OTHER		
VINCENT, JUSTIN OWEN	HARRINGTON, DE	YOB 1987

DD on 11/3/2020

FINED: \$300
HORSE PLACED 5

CHANGING COURSE/CAUSING INTERFERENCE

YODER, JESSE D

BELL, FL

YOB 1998

HoP on 11/5/2020

FINED: \$50

LATE DRIVER CHANGE

ZUCCARELLO, MATT J

HAMMONTON, NJ

YOB 1975

M on 10/23/2020

DRIVING - 3 DAYS, 10/29/2020 THRU
10/31/2020

JOSTLE, STRIKE OR HOOK WHEELS