FINES AND SUSPENSIONS BULLETIN

Fri, Jan 8 - Thu, Jan 14

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

KITTREDGE, RICKEY M **USTA** on 1/5/2021

PARMA HEIGHTS, OH RESTORED TO GOOD STANDING(RES) AS OF

YOB 1960

1/7/2021

USTA MEMBERSHIP ACTION

Membership denied pursuant to the provisions of Article 1, Section 4(a) of the Association bylaws.

Status as of 1/7/2021 - Subsequent Ruling - Restored to good standing.

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

GALLO, FRANK

WOODBRIDGE, ON

YOB 1992

PcD on 7/22/2020

RESTORED TO GOOD STANDING(RES) AS OF 1/11/2021

FAILURE TO OBTAIN PROPER LICENSE

PA Title 7- 203.11(e) Mr. Gallo has failed to complete his licensing requirements in Pennsylvania for 2020. He is fined \$50.00 and suspended indefinitely starting on 10/17/20. Status as of 1/12/2021 - Subsequent Ruling - Having completed his licensing requirements and paid the outstanding fine, Mr. Gallo is hereby restored to good standing in Pennsylvania as of January 12, 2021.

HAINSWORTH, ALAN C

MT MORRIS, NY

YOB 1940

PHL on 1/7/2021

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 1/18/2021

FAILURE TO OBTAIN PROPER LICENSE

PA Code Title 7- 203.11(a) 203.11(e) 203.23(b) Failure to complete Pennsylvania license requirements. The penalties are a \$50 fine (fines are due within ten days of ruling) and an indefinite full suspension (beginning January 18, 2021). Appeals are due within ten days of ruling and must be submitted in writing to: The Pennsylvania State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA 17110 ATTN: Anthony Salerno

HENNESSEY, WALTER J

COCONUT CREEK, FL

YOB 1956

PPk on 1/5/2021

DRIVING - 2 DAYS, 1/13/2021 THRU 1/14/2021

FAILURE TO APPEAR FOR A HEARING

On 1/5/21, Mr. Hennessey failed to appear before the judges for a hearing. Mr. Hennessey is hereby suspended from driving only for two days.

MACKENZIE, WILLIAM J

Fhld on 10/10/2020

CRANBURY, NJ

YOB 1972

FINED: \$500

FULL - 15 DAYS, 12/15/2020 THRU 12/29/2020

HORSE DISQUALIFIED, PURSE

REDISTRIBUTION

POSITIVE TEST- POST RACE

Bill Mackenzie was the programmed trainer of the horse "Clint Westwood" when he raced in the eleventh race at Freehold Raceway on October 10, 2020. Following this race, "Clint Westwood" was required to submit blood for analysis. A report from Truesdail Laboratories identified the presence of the drug Flunixin above the allowable limits. This is in direct violation of N.J.A.C. 13:71-23.8 (f). As a result, Mr. Mackenzie is hereby issued a full suspension of his New Jersey Racing Commission license for a period of fifteen (15) days, and fined the sum of five-hundred (\$500.00) dollars. Said suspension shall begin on December 15, 2020 and run through December 29, 2020 inclusive. During the period of suspension, Mr. Mackenzie is denied access to all grounds under the jurisdiction of the New Jersey Racing Commission for any and all purposes. Consistent with N.J.A.C. 13:71-23.7, the horse "Clint Westwood" is disqualified from sharing in all purse monies earned on October 10, 2020. MMV

SIEGEL, BILL W

WEST ORANGE, NJ

YOB 1942

PHL on 1/7/2021

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 1/18/2021

FAILURE TO OBTAIN PROPER LICENSE

PA Code Title 7- 203.11(a) 203.11(e) 203.23(b) Failure to complete Pennsylvania license requirements. The penalties are a \$50 fine (fines are due within ten days of ruling) and an indefinite full suspension (beginning January 18, 2021). Appeals are due within ten days of ruling and must be submitted in writing to: The Pennsylvania State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA 17110 ATTN: Anthony Salerno

WHEELER, RICHARD H

LENNON, MI

YOB 1944

PcD on 8/24/2020

RESTORED TO GOOD STANDING(RES) AS OF 1/11/2021

FAILURE TO OBTAIN PROPER LICENSE

PA Title 7-203.11(e) Mr. Wheeler has failed to complete his licensing requirements in Pennsylvania for 2020. He is fined \$50.00 and suspended indefinitely starting on 10/24/2020.

Status as of 1/12/2021 - Subsequent Ruling - Having completed his licensing requirements and paid the outstanding fine, Mr. Wheeler is hereby restored to good standing in Pennsylvania as of January 12, 2021.

PART THREE - WHIPPING VIOLATIONS

COBLENTZ, NICHOLAS R

POMPANO BEACH, FL

YOB 1983

PPk on 1/10/2021

FINED: \$100

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

In the 5th race on 1/10/21, Mr. Coblentz whipped his horse who was not responding and out of contention. All fines due within 7 days.

KNOX, PHILLIP D

DOWNERS GROVE, IL

YOB 1964

CalX on 1/3/2021

FINED: \$100

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Driver Phillip Knox, who drove #4 ROCKIN EDDIE in the 11th race on Sunday, January 3,2021 is hereby fined the sum 0f one hundred dollars (\$100) for violation of CHRB Whipping Rule #1734(a) (No driver shall whip any horse after the finish line has been crossed except when necessary to control the horse). Second offense in 60 days.

LONG, EDWARD E

DOVER, DE

YOB 1975

CalX on 1/9/2021

FINED: \$50

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Driver Gene Long, who drove #7 LIMA SPARTAN in the 9th race on Saturday, January 9,2021 is hereby fined the sum of fifty dollars (\$50) for violation of CHRB Whipping Rule #1734(d) (Driver may not use the whip more than three times in succession without giving the horse a chance to respond). First offense in 60 days.

MARSHALL, JAMES F III

JACKSON, NJ

YOB 1958

Fhld on 11/28/2020

FINED: \$500

DRIVING - 5 DAYS, 12/22/2020 THRU 12/26/2020

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Driver Jim Marshall III is hereby issued a driving suspension for a period of five (5) days beginning on December 22, 2020 thru December 26, 2020 inclusive and is fined the sum of five-hundred (\$500.00) dollars. While driving #7 "Lets Foal Around" in the fourth race at Freehold Raceway on November 28, 2020, Mr. Marshall, III did violate the New Jersey Racing Commission rule regarding the restrictive use of the whip. Violation of N.J.A.C. 13:71-20.15 (c) 3,4.

MORALES, RENALDO III

SAYRE, PA

YOB 1983

MR on 1/12/2021

FINED: \$100

FAILURE TO KEEP A LINE IN EACH HAND

While drivign #2 (ER Shane) in Race 4 you did whip one handed before the 3/4 pole. Any further violations of this nature will result in increased penalties.

POLLIO, MICHAEL P

RANDOLPH, NJ

YOB 1964

Fhld on 12/11/2020

FINED: \$500

DRIVING - 5 DAYS, 12/15/2020 THRU 12/19/2020

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Driver Michael Pollio is hereby issued a driving suspension for a period of five (5) days, beginning on December 15, 2020 through December 19, 2020 inclusive and is fined the sum of five-hundred (\$500.00) dollars. While driving #5 "Lookout Stokk Zone" in the second race at Freehold Raceway on December 11, 2020, Mr. Pollio did violate the New Jersey Racing Commission rule regarding the restrictive use of the whip. Violation of N.J.A.C. 13:71-20.15 (c) 3, 4.

SMITH, TREVOR GENE

WASHINGTON CH, OH

YOB 1996

MVR on 1/9/2021

FINED: \$300

INAPPROPRIATE USE OF THE WHIP

Miami Valley Raceway Driver TREVOR SMITH is hereby fined the sum of three hundred dollars (\$300.00) for a violation of Ohio State Racing Commission Rule 3769-17-17.(c). While driving No. 8 DI's Big Elvis in the ninth race on January 9, 2021 at Miami Valley Raceway, Mr. Smith did move his arm in an exaggerated manner by using more than his wrist and elbow action during the final quarter of the mile. This is Mr. Smith's first such violation of the use of the whip rule within the last 365 days in the state of Ohio. All fines due by 1/17/2021 or your license may be suspended or revoked.

PART FOUR - MINOR PENALTIES

BERRY, PATRICK D HARRINGTON, DE **YOB 1976**

DD on 1/11/2021 FINED: \$100

HORSE PLACED 4

INSIDE THE EURO RAIL / PYLON VIOLATION

BREWER, JASON J YOB 1978 MORAINE, OH

MVR on 1/11/2021 FINED: \$100

FAILURE TO DRIVE WHEN PROGRAMMED

YOB 1974 CLEGG, NICK A NORTHFIELD, OH

Nfld on 1/3/2021 FINED: \$100

CROSSING OVER BEFORE THE START

COLUMBO, JOSEPH D **YOB 1986** DOVER, DE

FINED: \$300 **DD** on 1/6/2021

NO VACCINATION / COGGINS / HEALTH CERTIFICATE

HENNESSEY, WALTER J **COCONUT CREEK, FL YOB 1956**

PPk on 1/4/2021 FINED: \$300 FAILURE TO KEEP BOTH FEET IN THE STIRRUPS

KILLEEN, JACK J WASHINGTON, PA **YOB 2000**

PPk on 1/7/2021 FINED: \$100

FAILURE TO KEEP BOTH FEET IN THE STIRRUPS

CHANGING COURSE/CAUSING INTERFERENCE

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| MACDONALD, LLOYD ALEX MR on 1/12/2021 KICKING A HORSE | SOUTH PARIS, ME FINED: \$100 | YOB 1984 |
| · · · · · · · · · · · · · · · · · · · | PARK RIDGE, NJ FINED: \$50 DSITION | YOB 1982 |
| MICALLEF, MICHAEL L PPk on 1/5/2021 FAILURE TO DRIVE WHEN PROGE | FINED: \$100 | YOB 1973 |
| · · · · · · · · · · · · · · · · · · · | BLACKLICK, OH FINED: \$100 THE STIRRUPS | YOB 1973 |
| MILLER, EMANUEL H Nfld on 1/5/2021 CROSSING OVER BEFORE THE ST | BEACH CITY, OH FINED: \$100 FART | YOB 1998 |
| MILLER, LEWAYNE L MVR on 1/10/2021 FAILURE TO HONOR DECLARATION | ANDERSON, IN FINED: \$200 ON | YOB 1986 |
| MINORE, PAUL A FhId on 12/11/2020 FAILURE TO COME UP/STAY IN PO | • | YOB 1949 |
| PLANO, LUKE CalX on 1/9/2021 KICKING A HORSE | SACRAMENTO, CA FINED: \$100 | YOB 1980 |
| POLLIO, MICHAEL P FhId on 12/11/2020 FAILURE TO COME UP/STAY IN PO | RANDOLPH, NJ FINED: \$50 OSITION | YOB 1964 |
| RANDALL, JAY L MR on 1/11/2021 KICKING A HORSE | BALLSTON SPA, NY FINED: \$100 | YOB 1961 |
| SANTERAMO, ANDREW PPk on 1/10/2021 | POMPANO BEACH, FL FINED: \$100 | YOB 1956 |

SIEGELMAN, AUSTIN T Fhld on 12/11/2020 **YOB 1992** KINGSTON, PA **FINED: \$50** FAILURE TO COME UP/STAY IN POSITION SMITH, TREVOR GENE WASHINGTON CH, OH **YOB 1996 MVR** on 1/9/2021 FINED: \$200 HORSE PLACED 7 CHANGING COURSE/CAUSING INTERFERENCE THOMPSON, JASON R Nfld on 12/30/2020 STOW, OH **YOB 1979** FINED: \$100 **INSIDE THE EURO RAIL / PYLON VIOLATION** WALKER, MITCHELL K
PPk on 1/10/2021 **YOB 1956** DAVISON, MI **PPk** on 1/10/2021 FINED: \$50 FAILURE TO COME UP/STAY IN POSITION WIDGER, SAMUEL D
MVR on 1/8/2021 **YOB 1963** BEECHER, IL FINED: \$100 **MVR** on 1/8/2021 FAILURE TO DRIVE WHEN PROGRAMMED WILFONG, KYLE J PENDLETON, IN **YOB 1991 MVR** on 1/8/2021 FINED: \$50

FAILURE TO DRIVE WHEN PROGRAMMED