

# FINES AND SUSPENSIONS BULLETIN

Fri, Dec 25 - Thu, Dec 31

## PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

\*\*\* NO RECORDS FOUND \*\*\*

## PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

**DORN, JEFF P**  
PHL on 12/22/2020

**DOWNINGTOWN, PA**

**YOB 1951**

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 1/1/2020

### **FAILURE TO OBTAIN PROPER LICENSE**

PA Code Title 7- 203.11(a) 203.11(e) 203.23(b) Failure to complete Pennsylvania license requirements. The penalties are a \$50 fine (fines are due within ten days of ruling) and an indefinite full suspension (beginning January 1, 2021). Appeals are due within ten days of ruling and must be submitted in writing to: The Pennsylvania State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA 17110 ATTN: Anthony Salerno

**EVANS, JOHN A**  
PHL on 12/22/2020

**INNISFIL, ON**

**YOB 1972**

RESTORED TO GOOD STANDING(RES) AS OF  
12/31/2020

### **FAILURE TO OBTAIN PROPER LICENSE**

PA Code Title 7- 203.11(a) 203.11(e) 203.23(b) Failure to complete Pennsylvania license requirements. The penalties are a \$50 fine (fines are due within ten days of ruling) and an indefinite full suspension (beginning January 1, 2021). Appeals are due within ten days of ruling and must be submitted in writing to: The Pennsylvania State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA 17110 ATTN: Anthony Salerno

***Status as of 12/31/2020 - Subsequent Ruling - Having paid his outstanding fine, and completing his licensing requirements, Mr. Evans is hereby restored to good standing in Pennsylvania as of December 31, 2020.***

**HAWK, ALEXANDER D**  
DTN on 12/21/2020

**CIRCLEVILLE, OH**

**YOB 1992**

FINED: \$250

FULL - 10 DAYS, 12/30/2020 THRU 1/8/2021

**APPEALED - 12/31/2020**

### **POSITIVE TEST - ILLEGAL SUBSTANCE TEST**

Dayton Raceway O.S.R.C. 3769-18-09 Driver Alexander Hawk is hereby fined the sum of two hundred-fifty dollars (\$250.00) and issued a full ten (10) day suspension from December 30,2020 through January 8, 2021 for his first violation of The Ohio State Racing Commission Rule 3769-18-09. The urine sample ID number 202631200 collected from Mr. Hawk on December 21,2020 at Dayton Raceway tested positive for Oxymorphone. Per O.S.R.C. Rule 3769-18-09(F)(1), Mr. Hawk will be subject to future mandatory drug testing and shall be suspended immediately. If the fine is not paid to the judges by 1/8/2021 you may be suspended or licensed revoked.

***Status as of 12/31/2020 - Appeal - Mr. Hawk has appealed this ruling in a timely fashion.***

**MC LOUGHLIN, MARY A**  
PcD on 8/23/2020

**LANCASTER, OH** **YOB 1957**  
RESTORED TO GOOD STANDING(RES) AS OF  
12/28/2020

**FAILURE TO OBTAIN PROPER LICENSE**

PA Title 7-203.11(e) Ms. McLoughlin has failed to complete her licensing requirements in Pennsylvania for 2020. She is fined \$50.00 and suspended indefinitely starting on 10/24/2020.

***Status as of 12/28/2020 - Subsequent Ruling - Having paid her outstanding fine and completing her licensing requirements, Ms. McLoughlin is hereby restored to good standing in Pennsylvania as of December 28, 2020.***

**MOORE, DONNA LYNN**  
DTN on 12/28/2020

**ADRIAN, MI** **YOB 1965**  
FINED: \$50

**BAD CHECK**

Ms. Moore issued a check for a 2021 OSRC license and the check was returned for Not Sufficient Funds. O.S.R.C.-3769-12-26(A)(6) 12-99

**TOMLINSON, BRIAN K**  
PHL on 12/22/2020

**WOODBINE, MD** **YOB 1967**  
FINED: \$50  
INDEFINITE SUSPENSION(IND) AS OF 1/1/2021

**FAILURE TO OBTAIN PROPER LICENSE**

PA Code Title 7- 203.11(a) 203.11(e) 203.23(b) Failure to complete Pennsylvania license requirements. The penalties are a \$50 fine (fines are due within ten days of ruling) and an indefinite full suspension (beginning January 1, 2021). Appeals are due within ten days of ruling and must be submitted in writing to: The Pennsylvania State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA 17110 ATTN: Anthony Salerno

**PART THREE - WHIPPING VIOLATIONS**

**HERRERA, HAROLD**  
CaIX on 12/19/2020

**VALLEJO, CA** **YOB 1964**  
FINED: \$50

**EXCESSIVE OR INDISCRIMINATE USE OF WHIP**

Driver Harold Herrera, who drove #2 MAN OF MINE in the 6th race on Saturday, December 19,2020 is hereby fined the sum of fifty dollars (\$50) for violation of CHRB Whipping Rule #1734(b) (whipping shall be restricted to elbow and wrist action only). First offense in 60 days.

**HUCKABONE, JUSTIN VICTOR**

**UTICA, NY**

**YOB 1994**

MR on 12/30/2020

FINED: \$100

**EXCESSIVE OR INDISCRIMINATE USE OF WHIP**

While driving # 6 (Northern Assassin A) in Race 7 you did use the whip in an excessive and indiscriminate way in the stretch. Any further violations of this nature will result in increased penalties.

**KENNEDY, JAMES E**

**SACRAMENTO, CA**

**YOB 1978**

CaIX on 12/19/2020

FINED: \$50

**EXCESSIVE OR INDISCRIMINATE USE OF WHIP**

Driver James Kennedy, who drove #9 JENNIE ANN in the 10th race on Saturday, December 19,2020 is hereby fined the sum of fifty dollars (\$50) for violation of CHRB Whipping Rule #1734(d) (Driver may not use the whip more than three times in succession without giving the horse a chance to respond). First offense in 60 days.

**KNOX, PHILLIP D**

**DOWNERS GROVE, IL**

**YOB 1964**

CaIX on 12/19/2020

FINED: \$50

**EXCESSIVE OR INDISCRIMINATE USE OF WHIP**

Driver Phillip Knox, who drove #5 E R VINCENT in the 6th race on Saturday, December 19,2020 is hereby fined the sum of fifty dollars (\$50) for violation of CHRB Whipping Rule #1734(d) (Driver may not use the whip more than three times in succession without giving the horse a chance to respond). First offense in 60 days.

**NAPOLITANO, GEORGE JR**

**BOCA RATON, FL**

**YOB 1966**

PcD on 10/3/2020

FINED: \$200

DRIVING - 3 DAYS, 12/29/2020 THRU 12/31/2020

**USING THE WHIP IN UNCONVENTIONAL MANNER**

PA Title 7-205.411 After race #8 on 10/3/20 the horse Mr. Napolitano drove(Pat Matters) was found to have welt marks in the detention area. He is fined \$250.00 and suspended from driving for 3 days starting on 10/13/20 through 10/15/20. He can appeal this decision in writing to the SHRC within 10 days of notice of this decision. The address for an appeal is: 2301 N, Cameron St, Room 301 Harrisburg, PA 17110 Phone:7177875196 ATTN: Anthony Salerno.

***Status as of 12/28/2020 - Subsequent Ruling - Ruling# 20040C The State Horse Racing Commission, Bureau of Standardbred Horse Racing, has received notification in writing that George Napolitano Jr., has withdrawn his appeal of the Pocono Downs Board of Judges Ruling No. 20056PD. In consideration of the withdrawal of his appeal, Ruling No. 20056PD is hereby modified as follows: Mr.***



<b>LEE, JOSEPH D</b> MR on 12/22/2020 <b>CROWDING/PUTTING ANOTHER WHEEL UNDER ANOTHER HORSE OR DRIVER</b> MR on 12/30/2020 <b>FAILURE TO OBEY THE INSTRUCTIONS OF A RACING OFFICIAL</b>	<b>BRONXVILLE, NY</b> DRIVING - 3 DAYS, 12/23/2020 THRU 12/25/2020 <b>FINED: \$100</b>	<b>YOB 1979</b>
<b>MC ILMURRAY, ARTHUR L</b> Nfld on 12/28/2020 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>RIVES JUNCTION, MI</b> <b>FINED: \$100</b>	<b>YOB 1958</b>
<b>MILLER, BRETT A</b> DTN on 12/28/2020 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>BLACKLICK, OH</b> <b>FINED: \$200</b> HORSE PLACED 9	<b>YOB 1973</b>
<b>NAPOLITANO, GEORGE JR</b> PHL on 10/2/2020 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b> PHL on 10/4/2020 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>BOCA RATON, FL</b> <b>FINED: \$200</b> DRIVING - 3 DAYS, 12/24/2020 THRU 12/26/2020 <b>FINED: \$200</b> DRIVING - 3 DAYS, 12/27/2020 THRU 12/29/2020	<b>YOB 1966</b>
<b>PLANO, RICHARD C</b> PPk on 12/22/2020 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>ANDERSON, IN</b> <b>FINED: \$100</b>	<b>YOB 1951</b>
<b>ROLAND, NICK ROYCE</b> CaIX on 12/18/2020 <b>JOSTLE, STRIKE OR HOOK WHEELS</b>	<b>GRINNELL, IA</b> <b>FINED: \$100</b>	<b>YOB 1982</b>
<b>SOWERS, MCGWIRE P</b> MR on 12/29/2020 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>MONTICELLO, NY</b> <b>FINED: \$100</b>	<b>YOB 2000</b>
<b>TAGGART, JIM R JR</b> MR on 12/28/2020 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>WILKES BARRE, PA</b> <b>FINED: \$100</b>	<b>YOB 1970</b>
<b>THARPS, THOMAS ERIC JR.</b> Nfld on 12/26/2020 <b>OTHER</b>	<b>KETTERING, OH</b> <b>FINED: \$100</b>	<b>YOB 1992</b>
<b>WRIGHT, DARRELL LEE</b>	<b>STOCKBRIDGE, MI</b>	<b>YOB 1962</b>

**Nfld** on 12/26/2020  
**KICKING A HORSE**

DRIVING - 4 DAYS, 12/29/2020 THRU 1/1/2021