

# FINES AND SUSPENSIONS BULLETIN

Fri, Aug 27 - Thu, Sep 2

## PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

\*\*\* NO RECORDS FOUND \*\*\*

## PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

**ADAMS, JOHN L II**  
Mea on 12/13/2019

**FINLEYVILLE, PA** **YOB 1963**  
HORSE DISQUALIFIED - PLACED 7, PURSE  
REDISTRIBUTION

### **LOW OR EXCESS LEVEL OF AUTHORIZED MEDICATION**

PA Code Title 7-401.2,401.4,401.41,401.45,403.15(n2). Mr. Adams was the trainer of record for CASH LEEBROOK in the 2nd Race at The Meadows on December 13, 2019. Post race analysis of sample 354609 showed the presence of FUROSEMIDE above the threshold permitted by the Commission, which was confirmed by split sample. CASH LEEBROOK finished 1st and is disqualified and placed 7th. Mr. Adams is hereby fined \$500 and ordered to return all purse monies from the above mentioned race. The fine and purse return are due within 10 days of this ruling. Mr. Adams has 10 days to appeal this decision to the Pennsylvania State Horse Racing Commission, Bureau of Standardbred Racing.

**Status as of 9/1/2021 - Subsequent Ruling - Ruling# 21010C The State Horse Racing Commission, Bureau of Standardbred Horse Racing has received in writing that John L. Adams II has withdrawn his appeal of the Meadows Board of Judges Ruling No. 20029M. In consideration of the withdrawal of his appeal, the ruling is hereby modified as follows: The original fine of \$500.00 is rescinded. The horse shall remain disqualified and all purse money earned shall be returned and redistributed. The appeal bond of \$750.00 shall be returned.**

**GRABER, LONNIE**  
Nfld on 3/23/2021

**DUNDEE, OH** **YOB 1987**  
FINED: \$1,000  
FULL - 365 DAYS, 8/7/2021 THRU 8/6/2022  
HORSE DISQUALIFIED, PURSE  
REDISTRIBUTION

### **POSITIVE TEST- POST RACE**

Urine sample #887583 collected at Northfield Park on 3/23/21 from the horse Archetto Hanover was found to contain 3-Methoxytyramine (3-MT) at a concentration of 19.5+-3.6 micrograms per milliliter of urine. This exceeds the Regulatory Threshold of 4.0 micrograms per milliliter adopted by the OSRC. All purse money must be returned for redistribution

(\$2,600.00). This ruling is referred to the commission for its consideration. O.S.R.C.-3769-18-01(B)(10)&(13) 18-02 18-99 If the fine is not paid to the judges by 5/25/21 you shall be suspended and your license may be revoked by the commission. Mr. Graber is barred from all grounds governed by the OSRC during his suspension. Any horses that he wants to transfer to another owner or trainer must be approved by the judges or the OSRC.

**Status as of 8/25/2021 - Subsequent Ruling - Mr. Graber has dropped his appeal of ruling NFLD-2021-080 and the penalty has been amended. All purse money must be returned for redistribution (\$2,600.00). O.S.R.C.-3769-18-01(B)(10)&(13) 18-02 18-99 If the fine is not paid to the judges by 9/4/21 you shall be suspended and your license may be revoked by the commission. Mr. Graber is barred from all grounds governed by the OSRC during his suspension. Any horses under his care that he wants to transfer to another owner or trainer must be approved by the judges or the OSRC. If the purse is not returned by 9/15/21 the owner shall stand suspended until all purse money has been returned to the permit holder. Horses owned wholly or in part by the owner shall also be suspended and are not transferable until the purse money has been returned.**

Nfld on 6/5/2021

FINED: \$1,000  
HORSE DISQUALIFIED, PURSE  
REDISTRIBUTION

### **POSITIVE TEST- POST RACE**

Blood sample #888453 collected at Northfield Park on 6/5/21 from the horse Ball Diamond was found to contain dexamethasone at a concentration of 267+-4 picograms per milliliter of plasma. This exceeds the Regulatory Threshold of 5 picograms per milliliter adopted by the OSRC. All purse money must be returned for redistribution (\$12,500.00). O.S.R.C.-3769-18-01(B)(10)&(13) 18-02 18-99 If the fine is not paid to the judges by 7/22/21 you shall be suspended and your license may be revoked by the commission.

**Status as of 8/25/2021 - Subsequent Ruling - Mr. Graber has dropped his appeal of ruling NFLD-2021-117 and the penalty remains the same. All purse money must be returned for redistribution (\$12,500.00). O.S.R.C.-3769-18-01(B)(10)&(13) 18-02 18-99 If the fine is not paid to the judges by 9/4/21 you shall be suspended and your license may be revoked by the commission. If the purse money is not returned by 9/15/21 the owner shall stand suspended until all purse money has been returned to the permit holder. Horses owned wholly or in part by the owner shall also be suspended and are not transferable until the purse money has been returned.**

HARMON, DAVID W  
PSHRC on 6/2/2008

CAMDEN, DE  
RESTORED TO GOOD STANDING(RES) AS OF  
9/2/2021  
YOB 1979

### **FALSIFICATION OF LICENSE APPLICATION**

Falsification to the PA Harness Racing license application.

**Status as of 9/2/2021 - Subsequent Ruling - Ruling #21011C The State Horse Racing Commission, Bureau of Standardbred Horse Racing has received payment from David W. Harmon for the fine associated with Ruling No. 08121C. Therefore, Mr. Harmon is hereby restored to good standing.**

**KIENINGER, JOHN A JR**  
Kent on 7/10/2021

**ROCHESTER, IN**  
FINED: \$1,000  
HORSE DISQUALIFIED, PURSE  
REDISTRIBUTION

**YOB 1949**

**POSITIVE TEST- POST RACE**

An analysis of the test sample obtained from GUSGUS following its first-place finish in the fourth race at the Newton County Fair in Kentland, IN on July 10, 2021 revealed the presence of Triamcinolone exceeding the levels permitted in accordance with the standard used in Indiana County Fairs races as prescribed by INHRC Rules 71 IAC 8-1-4.2. John Kieninger, Jr., trainer of GUSGUS was advised of this positive test and chose to waive his right to split sample testing. The purse money won by GUGUS is hereby ordered forfeited and redistributed among the horses according to their order of finish. Furthermore, trainer Kieninger is assessed a fine in the amount of \$1,000, which has been paid.

**MILLER, KEVIN E**  
Paris on 7/19/2021

**ARTHUR, IL**  
FINED: \$500  
HORSE DISQUALIFIED, PURSE  
REDISTRIBUTION

**YOB 1980**

**POSITIVE TEST- POST RACE**

An analysis of the test sample obtained from ILLINI STORM following its second place finish in the second race at the Edgar County Fair in Paris, IL on July 19, 2021 revealed the presence of Flunixin exceeding the accepted threshold in the state of Illinois. Kevin Miller, trainer of ILLINI STORM is hereby assessed a civil penalty of \$500.00, which has been paid. Furthermore, the purse money won by ILLNI STORM is hereby ordered forfeited and redistributed among the horses according to their order of finish.

**MILLETTE, JILL S**  
Nfld on 7/17/2021

**NEW LONDON, OH**  
WARNING ISSUED

**YOB 1963**

**POSITIVE TEST- POST RACE**

Blood sample #888129 collected at Northfield Park on 7/17/21 from the horse Passion N Blues was found to contain Omeprazole at a concentration of 23+-1 nanograms per milliliter of plasma. This exceeds the Regulatory Threshold of 10 nanograms per milliliter adopted by the OSRC. O.S.R.C.-3769-18-01(B)(10) 18-02 18-99

**POLISENO, CARL J JR.**  
Har on 8/31/2021

**DOVER, DE**

**YOB UNKN**

**POSITIVE TEST - ILLEGAL SUBSTANCE TEST**

DHRC Licensee Carl Polisenno Jr., having waived his right to a Judge`s hearing, is now under a 30 day FULL suspension (9/1/2021 through and including 9/30/2021) for failing a human drug test. Mr. Polisenno tested positive for Marijuana-THC (See DHRC rule: 5.1.8.5.2). Mr. Polisenno is responsible for the cost of the failed test (\$30.00).

**ROLAND, NICK ROYCE**

**GRINNELL, IA**

**YOB 1982**

**Aces** on 8/10/2021

FINED: \$1,000  
HORSE DISQUALIFIED, PURSE  
REDISTRIBUTION

**POSITIVE TEST- POST RACE**

Nick Roland is the trainer of Giggle Monster who raced on 8/10/21 in the 9th race, Giggle Monster finished 2nd. Aminicaprioc Acid was found in the post race blood sample that was sent to Industrial Laboratories. Mr. Roland is issued a \$1000 fine and loss of purse, all purse money must be redistributed. Mr. Roland signed the waiver of hearing. MN. 7890.0110 subp 1 and 6 Mn. 7877.0170 subp 2 C items 1-2-3-4 Mn. 7897.0100 subp 20 MN Statutes 240.03, 240.13, 240.23, 240.24, 240.29 Mn 7879.0200 subp 1a Mn 7897.0130 subp 6

**SERGI, SAM**

**West** on 8/18/2021

**CANTON, NY**

FINED: \$250  
HORSE DISQUALIFIED, PURSE  
REDISTRIBUTION

**YOB 1942**

**POSITIVE TEST- POST RACE**

An analysis of the test sample obtained from SS SHARKTANK following its first-place finish in the second race at the Essex County Fair in Westport, NY on August 18, 2021 revealed the presence of flunixin in excess of the allowable threshold. Pursuant to the rules of the USTA and the NY Sire Stakes County Fair 2021 Conditions, the purse money won by SS SHARKTANK is hereby ordered forfeited and redistributed among the horses according to their order of finish. Furthermore, trainer Sam Sergi is hereby assessed a penalty of \$250 which is due within 10 days of receipt of the notice from the presiding judge. SS SHARKTANK will not be allowed to participate in the County Fair Finals; eligibility to participate in the finals excludes any participant with appeals yet to be decided at the time of declaration to the finals.

**SHEPHERD, PATRICK**

**Nfld** on 6/8/2021

**OTHER**

Mr. Shepherd is hereby suspended until he contacts the judges at Northfield Park regarding a check issued to the Ohio State Racing commission. O.S.R.C.-3769-12-26(A)(6) 12-99

**WATERDOWN, ON**

INDEFINITE SUSPENSION(IND) AS OF 8/26/2021

**YOB 1992**

**ZIMMERMAN, ELVIN W**

**Humb** on 7/18/2021

**ELMA, IA**

FINED: \$500  
FULL - 15 DAYS, 9/1/2021 THRU 9/15/2021  
HORSE DISQUALIFIED, PURSE  
REDISTRIBUTION

**YOB 1992**

**POSITIVE TEST- POST RACE**

An analysis of the test sample obtained from SOUTHERN DESIRE following its first-place finish in the eleventh race at the Humboldt County Fairgrounds, in Humboldt, IA on July 18, 2021 revealed the presence of clenbuterol in excess of the threshold set by ARCI. As trainer of record of SOUTHERN DESIRE, Elvin Zimmerman is hereby assessed a fine of

\$500 and a 15-day suspension, August 11, 2021 through August 25, 2021 (inclusive) for a first medication violation in a calendar year. Mr. Zimmerman is excluded from all Iowa tracks during the suspension. Furthermore, the purse money won by SOUTHERN DESIRE is hereby ordered forfeited and redistributed among the horses according to their order of finish.

**Status as of 9/1/2021 - Subsequent Ruling - Mr. Zimmerman has dropped his appeal and will serve his 15-day suspension from 9/1/21 through 9/15/21, inclusive. Per USTA Rule 21.03, horses under the care of Mr. Zimmerman may not race under the name of another trainer unless released to do so by the presiding judge.**

### PART THREE - WHIPPING VIOLATIONS

<b>BOTHMAN, DUSTIN A</b> Rksp on 8/18/2021	<b>LONDONDERRY, OH</b> FINED: \$300	<b>YOB 1994</b>
<b>WHIPPING OUT OF CONTENTION</b> Fined \$300 for violation of Rule 31-17.17, whipping while out of contention, also raising arm above shoulder height.		
<b>BUTTERFIELD, KARL F</b> Union on 8/26/2021	<b>RAYMOND, ME</b> FINED: \$50	<b>YOB 1967</b>
<b>EXCESSIVE OR INDISCRIMINATE USE OF WHIP</b> EXCESSIVE WHIPPING - PREVIOUSLY WARNED		
<b>CAMPBELL, WINSTON D</b> PRc on 8/30/2021	<b>SCARBOROUGH, ME</b> FINED: \$200	<b>YOB 1967</b>
<b>EXCESSIVE OR INDISCRIMINATE USE OF WHIP</b> USTA 18.08 (b). On 08/30/2021 at PRC, while driving No.4 LEAVE AN ROLL in Race 6, driver Winston Campbell was observed excessively whipping his horse in the stretch. (2nd offense). As a result of the violation Mr. Campbell is hereby fined \$200.00. Subsequent violations may result in additional penalties. All fines are due within 48 hours of imposition.		
<b>CAVETT, PAUL M</b> Spr on 8/14/2021	<b>CLINTON, MS</b> FINED: \$100	<b>YOB 1984</b>
<b>EXCESSIVE OR INDISCRIMINATE USE OF WHIP</b> At Springfield State Fair- excessive whipping beyond wrist and elbow action		
<b>CUTTING, JACOB G</b> Aces on 8/26/2021	<b>FOREST LAKE, MN</b> FINED: \$200 DRIVING - 1 DAY, 8/31/2021 THRU 8/31/2021	<b>YOB 2001</b>
<b>EXCESSIVE OR INDISCRIMINATE USE OF WHIP</b>		
<b>GIROUARD, MICHAEL JOHN</b> Union on 8/27/2021	<b>SPENCER, MA</b> FINED: \$50	<b>YOB 1977</b>

**FAILURE TO KEEP A LINE IN EACH HAND**

MR. GIROUARD DID FAIL TO KEEP REINS IN BOTH HANDS WHILE DRIVING MAGNUM FORCE.

**JUNGQUIST, JAMES D** **CALGARY, AB** **YOB 1978**  
Aces on 8/29/2021  
FINED: \$200  
DRIVING - 2 DAYS, 9/2/2021 THRU 9/3/2021

**OTHER**

Actual suspended days are race days at Running Aces in 2021, dates are 9/2 & 5/21, Thursday and Sunday respectively

**MORALES, RENALDO III** **SAYRE, PA** **YOB 1983**  
PcD on 8/31/2021  
FINED: \$200

**OTHER**

PA Title7-205.409(3)(c) While driving #3 Champ Charbel in race # 6 on 8/31/21 he did use an exaggerated movement of his whipping arm. He is fined \$200.00 which must be paid by 9/10/21.

**PACHECO, JESSIE L** **ACAMPO, CA** **YOB 1992**  
Haw on 8/22/2021  
FINED: \$200  
**EXCESSIVE OR INDISCRIMINATE USE OF WHIP**

**PATTON, FREDDIE L JR** **CLINTON, MS** **YOB 1964**  
Spr on 8/18/2021  
FINED: \$100  
**EXCESSIVE OR INDISCRIMINATE USE OF WHIP**

**RANGER, BRUCE W** **LEWISTON, ME** **YOB 1959**  
PRc on 8/23/2021  
FINED: \$600  
**EXCESSIVE OR INDISCRIMINATE USE OF WHIP**

On 08/23-2021 at PRC, while driving in Race 9, driver Bruce Ranger did leave welts on the No.3 horse STEAL MY THUNDER.(2nd welting violation).As a result of the violation Mr. Ranger is hereby fined \$600.00. Subsequent violations will result in additional penalties. All fines are due within 48 hours of imposition.

**ROLAND, NICK ROYCE** **GRINNELL, IA** **YOB 1982**  
Aces on 8/26/2021  
FINED: \$500  
**EXCESSIVE OR INDISCRIMINATE USE OF WHIP**

Mr. Roland did whip excessively and did cause welts, penalty is \$500 and a 5 days driving suspension, the days are race days at Running Aces 2021 meet, specific dates are 8/31/21, 9/2-5-7-9/21

**WATSON, WALLACE R** **DRESDEN, ME** **YOB 1970**  
PRc on 7/26/2021  
FINED: \$100  
**EXCESSIVE OR INDISCRIMINATE USE OF WHIP**

USTA 18.08 (b). On 08/26/2021 at PRC while driving No.1 CIGARS AND PORT in Race 7, driver Wallace Watson was observed excessively whipping his horse in the stretch.(1st offense) As a result of the violation Mr. Watson is hereby fined \$100.00. All fines are due within 48 hours of imposition.

<b>WESTBROOK, HENRY H III</b> Stga on 8/28/2021 <b>EXCESSIVE OR INDISCRIMINATE USE OF WHIP</b> 4117.8(c). Mr. Westbrook while driving #2 PARALLEL LINE in the 9th Race at Saratoga Harness on August 28, 2021, did whip his horse in an excessive manner in the stretch. The fine is \$100, further violations of this nature will result in greater penalties.	<b>MIDDLE GROVE, NY</b> FINED: \$100	<b>YOB 1969</b>
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#### PART FOUR - MINOR PENALTIES

<b>BENDER, ATLEE E</b> Haw on 8/29/2021 <b>FAILURE TO COME UP/STAY IN POSITION</b>	<b>WINDGAP, PA</b> FINED: \$100	<b>YOB 1992</b>
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<b>CONRAD, CHARLES M</b> HoP on 8/25/2021 <b>CROSSING OVER BEFORE THE START</b>	<b>MORRISTOWN, IN</b> FINED: \$100	<b>YOB 1975</b>
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<b>DAVIS, BILLY J JR</b> Nfld on 8/21/2021 <b>INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK</b>	<b>GUELPH, ON</b> FINED: \$350 HORSE PLACED 8	<b>YOB 1986</b>
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<b>FUSCO, PAUL N</b> PcD on 8/29/2021 <b>LATE TO THE LASIX/ DETENTION BARN</b> PcD on 8/29/2021 <b>LATE TO THE LASIX/ DETENTION BARN</b>	<b>JACKSON, NJ</b> FINED: \$250 FINED: \$250	<b>YOB 1972</b>
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<b>GRAFFAM, NICHOLAS M</b> PRc on 8/23/2021 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>FALMOUTH, ME</b> FINED: \$100 HORSE PLACED 4	<b>YOB 1990</b>
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<b>HALFORD, STEPHAN L II</b> Spr on 8/14/2021 <b>LATE TO THE LASIX/ DETENTION BARN</b>	<b>PLEASANT PLAINS, IL</b> FINED: \$200	<b>YOB 1979</b>
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<b>HALL, TONY A</b> Nfld on 8/21/2021	<b>WASHINGTON, PA</b> FINED: \$750	<b>YOB 1978</b>
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**FAILURE TO DRIVE WHEN PROGRAMMED**

<b>HARDY, JAMES E</b> PRc on 8/26/2021 <b>FAILURE TO KEEP BOTH FEET IN THE STIRRUPS</b>	<b>NORTH ATTLEBORO, MA</b> FINED: \$100	<b>YOB 1964</b>
<b>LAWTON, DALE G</b> Stga on 8/28/2021 <b>LATE DRIVER CHANGE</b> Stga on 8/28/2021 <b>LATE DRIVER CHANGE</b>	<b>VERONA, NY</b> FINED: \$100  FINED: \$100	<b>YOB 1948</b>
<b>LONG, CHRIS H</b> VD on 8/27/2021  <b>TAKE UP ABRUPTLY/SIT DOWN IN FRONT OF HORSES CAUSING CONFUSION AMONG TRAILING HORSES</b>	<b>SARATOGA SPRINGS, NY</b> DRIVING - 3 DAYS, 9/8/2021 THRU 9/10/2021 HORSE PLACED 6	<b>YOB 1967</b>
<b>MC LELLAN, DAVID G</b> PRc on 8/30/2021 <b>LATE TO THE LASIX/ DETENTION BARN</b>	<b>WESTBROOK, ME</b> FINED: \$100	<b>YOB 1973</b>
<b>MERRIMAN, AARON E</b> ScD on 8/28/2021 <b>FAILURE TO DRIVE WHEN PROGRAMMED</b>	<b>NORTHFIELD, OH</b> FINED: \$200	<b>YOB 1978</b>
<b>MILLER, DAVID S</b> VD on 8/28/2021  <b>CHANGING COURSE/CAUSING INTERFERENCE</b>	<b>CREAM RIDGE, NJ</b> DRIVING - 2 DAYS, 8/30/2021 THRU 8/31/2021 HORSE PLACED 5	<b>YOB 1964</b>
<b>MOSHER, GARY D</b> Wind on 8/29/2021 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>BELGRADE LAKES, ME</b> FINED: \$100	<b>YOB 1959</b>
<b>MYERS, HUNTER R</b> Nfld on 8/23/2021  <b>CHANGING COURSE/CAUSING INTERFERENCE</b>	<b>WILLIAMSPORT, OH</b> FINED: \$200 HORSE PLACED 3	<b>YOB 1998</b>
<b>OOSTING, MICHAEL J</b> HoP on 8/26/2021  <b>INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK</b>	<b>BEECHER, IL</b> FINED: \$200 HORSE PLACED 10	<b>YOB 1962</b>



<b>PAGE, CHRIS L</b> Nfld on 8/22/2021 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>MT VERNON, OH</b> FINED: \$100	<b>YOB 1983</b>
<b>RAIA, FRANCIS II</b> Stga on 8/23/2021 <b>INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK</b>	<b>MECHANICSVILLE, NY</b> DRIVING - 3 DAYS, 8/27/2021 THRU 8/29/2021 HORSE PLACED 4	<b>YOB 1956</b>
<b>REARIC, DAVID H</b> OD on 8/25/2021 <b>OTHER</b>	<b>FEDERALSBURG, MD</b> FINED: \$100	<b>YOB 1993</b>
<b>ROLAND, NICK ROYCE</b> Aces on 8/24/2021 <b>FAILURE TO DESIGNATE RESPONSIBLE PERSON/TRAINER IN Paddock</b>	<b>GRINNELL, IA</b> FINED: \$100	<b>YOB 1982</b>
<b>SOMONE, ANTHONY T</b> DuQ on 8/28/2021 <b>CHANGING COURSE/CAUSING INTERFERENCE</b>	<b>WILLOW SPRINGS, IL</b> FINED: \$100	<b>YOB 1962</b>
<b>SWITZER, KEVIN P JR</b> PRc on 8/26/2021 <b>FAILURE TO KEEP BOTH FEET IN THE STIRRUPS</b>	<b>E WATERBORO, ME</b> DRIVING - 3 DAYS, 9/2/2021 THRU 9/4/2021	<b>YOB 1987</b>
<b>THOMPSON, JASON R</b> Nfld on 8/18/2021 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>STOW, OH</b> FINED: \$200 HORSE PLACED 6	<b>YOB 1979</b>
<b>WRENN, PETER M</b> HoP on 8/27/2021 <b>IMPEDING THE PROGRESS OF ANOTHER HORSE</b>	<b>CARMEL, IN</b> FINED: \$200 HORSE PLACED 4	<b>YOB 1962</b>