

FINES AND SUSPENSIONS BULLETIN

Fri, Sep 10 - Thu, Sep 16

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

*** NO RECORDS FOUND ***

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

ALEXANDER, HOWARD J

PcD on 5/8/2021

MURRYSVILLE, PA

RESTORED TO GOOD STANDING(RES) AS OF
9/13/2021

YOB 1965

FAILURE TO OBTAIN PROPER LICENSE

PA Title 7-203.11(e) Mr. Alexander has failed to complete his licensing requirements for 2021. He is fined \$50.00 and suspended indefinitely starting on 6/21/21 and will continue to be suspended until he pays fine and completes his licensing requirements.

Status as of 9/13/2021 - Subsequent Ruling - PA Title 7- 203.11(e) Mr. Alexander has completed his licensing requirements and paid his fine and as of 9/13/21 he is restored to good standing.

CAREY, THOMAS JEFF

Haw on 9/4/2021

COLUMBUS JUNCTION, IA

RESTORED TO GOOD STANDING(RES) AS OF
9/14/2021

YOB 1956

FAILURE TO OBTAIN PROPER LICENSE

suspend-failure to submit fingerprints

Status as of 9/14/2021 - Subsequent Ruling - Ruling #214094 rescinded without prejudice, issued in error. Mr. Carey is in good standing.

DAVIS, AMY L

Nfld on 8/16/2021

GUELPH, ON

FINED: \$50

YOB 1988

BAD CHECK

Ms. Davis issued a check for a 2021 OSRC license and the check was returned for Canadian Bank conversion problem. O.S.R.C.-3769-12-26(A)(6) 12-99 The fine has been paid.

GANNON, DAWN M

Har on 9/8/2021

DOVER, DE

YOB 1973

FAILURE TO PASS THE BREATH ANALYZER TEST

DHRC Licensee Dawn Gannon is summarily suspended (Effective 9/8/2021) pending a Judge`s hearing. Ms. Gannon will remain suspended until such a hearing is conducted (See DHRC rule: 10.2.3.1).

KATZ, EVAN A **SEAFORD, NY** **YOB 1964**
YR on 9/14/2021 FINED: \$1,500
OFFENSIVE/PROFANE LANGUAGE
You failed to act in a forthright gentlemanly manner and used abusive and profane language while in the race office at Yonkers Raceway

KRATZ, MARY E **MANCHESTER, MI** **YOB 1959**
Haw on 9/4/2021 RESTORED TO GOOD STANDING(RES) AS OF 9/14/2021
FAILURE TO OBTAIN PROPER LICENSE
suspend- failure to submit fingerprints
Status as of 9/14/2021 - Subsequent Ruling

KRENITSKY, JULIE A **BUTLER, PA** **YOB 1979**
Haw on 9/4/2021 RESTORED TO GOOD STANDING(RES) AS OF 9/14/2021
FAILURE TO OBTAIN PROPER LICENSE
suspend- failure to complete permanent application
Status as of 9/14/2021 - Subsequent Ruling

LAAKKONEN, EERO J **LAHTI** **YOB 1983**
PcD on 6/12/2021 FINED: \$50
INDEFINITE SUSPENSION(IND) AS OF 9/15/2021
FAILURE TO OBTAIN PROPER LICENSE
PA Title 7-203.11(e) Mr. Laakkonen has failed to complete his licensing requirements in Pennsylvania for 2021. He is fined \$50.00 and as of 9/15/21 he is suspended indefinitely and will remain suspended until he pays his fine and completes his licensing requirements.

MAIN, STEVE M **BYRON, MI** **YOB 1958**
Nfld on 8/4/2021 FINED: \$500
FULL - 15 DAYS, 9/9/2021 THRU 9/23/2021
HORSE DISQUALIFIED, PURSE REDISTRIBUTION
APPEALED - 9/8/2021

POSITIVE TEST- POST RACE
Blood sample #888572 collected at Northfield Park on 8/4/21 from the horse Beach Kisser was found to contain testosterone at a concentration of 229+-1 picograms per milliliter of plasma. This exceeds the Regulatory Threshold of 100 picograms per milliliter adopted by the OSRC for testosterone in a gelding. All purse money must be returned for redistribution (\$2,500.00). O.S.R.C.-3769-18-01(B)(10)&(13) 18-02 18-99 If the fine is not paid to the

judges by 9/18/21 you shall be suspended and your license may be revoked by the commission. Mr. Main is barred from all grounds governed by the OSRC during his suspension. Any horses under his care that he wants to transfer to another owner or trainer must be approved by the judges or the OSRC.

Status as of 9/8/2021 - Appeal

MALONE, BRIAN S **OCEAN VIEW, DE** **YOB 1981**
OD on 9/6/2021 **FINED: \$500**

CONDUCT DETRIMENTAL TO THE BEST INTEREST OF HORSE RACING

Trainer Brian Malone is fined \$500.00 for initiating a confrontation with driver Luke Hanners following the finish of the 10th race on September 6th, 2021 at Ocean Downs. This aggression continued even after dismounting back at the paddock. All fines are due within 10 days of ruling. COMAR: 09.10.03.03(9)

MARINO, JIM R **SURREY, BC** **YOB 1975**
Haw on 9/4/2021 **INDEFINITE SUSPENSION(IND) AS OF 9/9/2021**

FAILURE TO OBTAIN PROPER LICENSE

suspend- failure to submit fingerprints

MCDONALD, MACKENZIE C **LOUISVILLE, MS** **YOB 1981**
Haw on 7/16/2021 **FINED: \$200**

POSITIVE TEST- POST RACE

Trainer Mackenzie McDonald fined \$200 for bute overage, 3.71 mcg/ml. Purse forfeiture addressed in following ruling. Mitigating circumstances-- accidental overdose, clean record, medication and otherwise.

Haw on 7/16/2021

**HORSE DISQUALIFIED, PURSE
REDISTRIBUTION**

POSITIVE TEST- POST RACE

Owner Mackenzie McDonald forfeits purse of \$2,900 won by TOPVILLE ROCKNLUCK, winner of race 7 on July 16, 2021. Complete purse redistribution ordered, for high bute overage, 3.71 mcg/ml.

MOCZULSKI, SASHA K **MIDDLETOWN, DE** **YOB 1990**
PHL on 4/30/2021 **FINED: \$1,000**

**HORSE DISQUALIFIED - PLACED 2, PURSE
REDISTRIBUTION**

POSITIVE TEST- POST RACE

PA Code Title 7- 401.2(a)(1) 401.3(a) 401.3(b) 401.45 401.46 Ms. Moczulski was the trainer of the horse REASONABLE FORCE for the 1st race on April 30, 2021 at Harrah's Philadelphia. Blood samples drawn (post race) were tested and found to contain Dimethyl Sulfoxide (DMSO). A split sample was tested by UIC Analytical Forensic Testing Laboratory and the presence of DMSO was confirmed. As the trainer, Ms. Moczulski is responsible for the positive test. REASONABLE FORCE has been disqualified (purse money has been returned). Ms. Moczulski is fined \$1,000 (fine has been paid).

RICKERT, ANDY W
Nfld on 7/31/2021

FREDONIA, PA

YOB 1975

FINED: \$1,000
FULL - 15 DAYS, 9/8/2021 THRU 9/22/2021
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION
APPEALED - 9/7/2021

POSITIVE TEST- POST RACE

Blood sample #888526 collected at Northfield Park on 7/31/21 from the horse Do U Mind was found to contain flunixin at a concentration of 32042+-3958 nanograms per milliliter of plasma. This exceeds the Regulatory Threshold of 20 nanograms per milliliter adopted by the OSRC. All purse money must be returned for redistribution (\$4,000.00). O.S.R.C.-3769-18-01(B)(1)(a) 18-01(B)(13) 18-02 18-99 If the fine is not paid to the judges by 9/17/21 you shall be suspended and your license may be revoked by the commission. Mr. Rickert is barred from all ground governed by the OSRC during his suspension. Any horses under his care that he wants to transfer to another owner or trainer must be approved by the judges or the OSRC.

Status as of 9/7/2021 - Appeal

SHEPHERD, PATRICK
Nfld on 6/8/2021

WATERDOWN, ON
FINED: \$50

YOB 1992

BAD CHECK

Mr. Shepherd issued a check for a 2021 OSRC license and the check was returned for Canadian Bank Debit Adjustments. O.S.R.C.-3769-12-26(A)(6) 12-99 The fine has been paid.

SHROYER, RICHARD L
Nfld on 7/24/2021

NEWARK, OH
FINED: \$500

YOB 1970

FULL - 180 DAYS, 9/27/2021 THRU 3/25/2022

POSSESSION OF HYPODERMIC NEEDLES, SYRINGES AND/OR INJECTABLE AND/OR OTHER DRUGS

On 7/24/21 a search was conducted of the vehicle that Richard Shroyer was riding in. He cooperated with the search and two syringes and one needle were found in an overnight bag belonging to Mr. Shroyer. Possession of prohibited items is against the best interest of racing. O.S.R.C.-3769-12-26(A)(10) 12-99 18-07(A) 18-99 If the fine is not paid to the judges by 8/5/21 you shall be suspended and your license may be revoked by the commission. Mr. Shroyer is barred from all grounds governed by the OSRC during his suspension. Any horses under his care that he wants to transfer to another owner or trainer must be approved by the judges or the OSRC.

Status as of 9/12/2021 - Subsequent Ruling - Mr. Shroyer has dropped his appeal of ruling NFLD-2021-132 and the penalty has been amended. O.S.R.C.-3769-18-07(A) 18-99 If the fine is not paid to the judges by 9/22/21 you shall be suspended and your license may be revoked by the commission. Mr. Shroyer is barred from all grounds governed by the OSRC during his suspension. Any horses under his care that he

wants to transfer to another owner or trainer must be approved by the judges or the OSRC.

TAYLOR, DARREN B **MAHTOMEDI, MN** **YOB 1963**
Haw on 7/22/2021 RESTORED TO GOOD STANDING(RES) AS OF 9/14/2021
FAILURE TO OBTAIN PROPER LICENSE
Failure to submit fingerprints.
Status as of 9/14/2021 - Subsequent Ruling

VEACH, JAY L **DELAWARE, OH** **YOB 1966**
Nfld on 8/18/2021 INDEFINITE SUSPENSION(IND) AS OF 9/7/2021
UNPAID OBLIGATION
Mr. Veach is hereby suspended until he pays the fine (\$100.00) per ruling Nfld-2021-149.
O.S.R.C.-3769-17-36 17-99

WICKEY, JAKE B **CONNEERSVILLE, IN** **YOB 1961**
HoP on 8/20/2021 FULL - INDEFINITELY AS OF 9/11/2021
FAILURE TO OBTAIN PROPER LICENSE
71 IAC 5-1-1(e) Mr. Wickey failed to complete the licensing requirements of the IHRC by not submitting fingerprints as required. He is hereby issued an indefinite suspension beginning 9/11/2021 until such time he completes his licensing requirements.

PART THREE - WHIPPING VIOLATIONS

ATHEARN, MATTHEW M **CUMBERLAND, ME** **YOB 1998**
PRC on 9/9/2021 FINED: \$400
EXCESSIVE OR INDISCRIMINATE USE OF WHIP
USTA 18.08 (b)(2) .On 9/9/21 at PRC while driving #7 JACK ROGER in Race 9,driver Matthew Athearn was observed excessively whipping his horse in the stretch.As a result of the violation,Mr Athearn is hereby fined \$400.00.Subsequent violations may result in additional penalties.All fines are due within 48 hours of imposition

FISHER, RAY E JR **AKRON, NY** **YOB 1968**
Nfld on 9/12/2021 FINED: \$300
INAPPROPRIATE USE OF THE WHIP
While driving #2 Darbie`s Ideal in race 9 on 9/12/21 he whipped the horse in an exaggerated manner by raising his whipping arm above shoulder height and using more that elbow and wrist action. O.S.R.C.-3769-17-17(B)&(C) 17-99 If the fine is not paid to the judges by 9/23/21 you shall be suspended and your license may be revoked by the commission.

FLOWERS, DERRICK J **JACKSON, MS** **YOB 1983**

Hick on 8/26/2021 FINED: \$100
EXCESSIVE OR INDISCRIMINATE USE OF WHIP
Driver Derrick Flowers, while driving #1 LOVE IS HILL in the fourth race, was in violation of the whipping rule by using more than wrist and elbow action in the stretch. Rule #3769-17-17-(B)(C). The fine has been paid.

GOULD, JUSTIN B HUMBOLDT, IA YOB 2003
Humb on 9/11/2021 FINED: \$100
FAILURE TO KEEP A LINE IN EACH HAND
Driver Justin Gould is fined \$100.00 for violation of USTA Rule 18.08(c)(1), failure to keep a line in each hand. The fine has been paid.

HARMON, DONALD D JACKSON, MI YOB 1966
Npln on 8/18/2021 FINED: \$100
EXCESSIVE OR INDISCRIMINATE USE OF WHIP
Driver Don Harmon, while driving #1 DIVE BAR in the fourth race, was in violation of the whipping rule by using more than wrist and elbow action in the last quarter. Rule #3769-17-17-(B)(C). The fine has been paid.

HAYNES, JASON A BLISSFIELD, MI YOB 1976
Hick on 8/26/2021 FINED: \$100
EXCESSIVE OR INDISCRIMINATE USE OF WHIP
Driver Jason Haynes, while driving #1 CHUCKLES BEGONIA in the second race, was in violation of the whipping rule by using more than wrist and elbow action in the stretch. Rule #3769-17-17-(B)(C). The fine has been paid.

LAKE, DAVID R RIVERDALE, MI YOB 1970
Nfld on 9/1/2021 FINED: \$300
INAPPROPRIATE USE OF THE WHIP
While driving #4 Density Hanover in race 15 on 9/1/21 he whipped the horse while off stride and out of contention. O.S.R.C.-3769-17-17(I) 17-99 If the fine is not paid to the judges by 9/19/21 you shall be suspended and your license may be revoked by the commission.

PLUTA, KIM S JACKSON, MI YOB 1970
Npln on 8/17/2021 FINED: \$100
EXCESSIVE OR INDISCRIMINATE USE OF WHIP
Driver Kim Pluta, while driving #1 ART`S BEACH in the sixth race, was in violation of the whipping rule by using more than wrist and elbow action in the last half of the mile. Rule #3769-17-17-(B)(C). The fine has been paid.

PART FOUR - MINOR PENALTIES

ABBATIELLO, AGOSTINO HUNTINGTON, NY YOB 1952

YR on 9/14/2021 LATE DRIVER CHANGE	FINED: \$100	
BATES, BRANDON L HoP on 9/4/2021 CHANGING COURSE/CAUSING INTERFERENCE	MONROE, IN FINED: \$200 HORSE PLACED 3	YOB 1975
BECKWITH, BRETT M Fhld on 8/28/2021 FAILURE TO DRIVE WHEN PROGRAMMED	WILTON, NY FINED: \$50	YOB 2003
BOURGAULT, FREDEREICK MR on 9/14/2021 FAILURE TO KEEP BOTH FEET IN THE STIRRUPS MR on 9/14/2021 CHANGING COURSE/CAUSING INTERFERENCE	SCOTT, QC FINED: \$100 DRIVING - 3 DAYS, 9/15/2021 THRU 9/17/2021	YOB 1998
CLEGG, NICK A Nfld on 9/7/2021 KICKING A HORSE	NORTHFIELD, OH FINED: \$300 DRIVING - 3 DAYS, 9/8/2021 THRU 9/10/2021	YOB 1974
DAVIS, BILLY J JR Nfld on 9/4/2021 INSIDE THE EURO RAIL / PYLON VIOLATION	GUELPH, ON FINED: \$100	YOB 1986
GENOIS, STEEVEN Stga on 9/7/2021 FAILURE TO DRIVE WHEN PROGRAMMED VD on 9/11/2021 FAILURE TO DRIVE WHEN PROGRAMMED	LEVIS, QC FINED: \$100 FINED: \$100	YOB 1988
HALL, TONY A Nfld on 9/4/2021 INSIDE THE EURO RAIL / PYLON VIOLATION	WASHINGTON, PA FINED: \$100	YOB 1978
HANNERS, LUKE OD on 9/6/2021 CHANGING COURSE/CAUSING INTERFERENCE	HARRINGTON, DE FINED: \$150	YOB 2000
HOLZMAN, PAUL R Nfld on 9/5/2021 FAILURE TO HAVE HORSE PROPERLY EQUIPPED	STREETSBORO, OH FINED: \$100	YOB 1962

JOHNSTON, SHAWN P Nfld on 9/7/2021 LATE DRIVER CHANGE	EVANS CITY, PA FINED: \$100	YOB 1993
MACDONALD, ANTHONY A Nfld on 9/7/2021 IMPEDING THE PROGRESS OF ANOTHER HORSE	GUELPH, ON FINED: \$200 HORSE PLACED 4	YOB 1976
MANCINI, MICHAEL PRc on 9/9/2021 LATE TO THE LASIX/ DETENTION BARN	POQUONOCK, CT FINED: \$100	YOB 1989
MILBY, FRANKLIN D OD on 8/8/2021 FAILURE TO DRIVE WHEN PROGRAMMED	CORDOVA, MD FINED: \$50	YOB 1978
MILLER, BRETT A ScD on 9/10/2021 INSIDE THE EURO RAIL / PYLON VIOLATION	BLACKLICK, OH FINED: \$200 HORSE PLACED 5	YOB 1973
NOBLE, DANIEL N ScD on 9/10/2021 INSIDE THE EURO RAIL / PYLON VIOLATION ScD on 9/11/2021 KICKING A HORSE	XENIA, OH FINED: \$200 HORSE PLACED 4 FINED: \$300	YOB 1983
ODE, PAYTON D HoP on 9/9/2021 LATE DRIVER CHANGE	ANDERSON, IN FINED: \$50	YOB 1978
PAGE, CHRIS L ScD on 9/3/2021 INSIDE THE EURO RAIL / PYLON VIOLATION	MT VERNON, OH FINED: \$200 HORSE PLACED 5	YOB 1983
RHOADES, BILL Nfld on 9/7/2021 FAILURE TO PROVIDE ADEQUATE OR PROPER DECLARATION INFORMATION	NORTHFIELD, OH FINED: \$50	YOB 1987
ROLAND, ROYAL R Humb on 9/11/2021	GRINNELL, IA FINED: \$50	YOB 1962

INSIDE THE EURO RAIL / PYLON VIOLATION

SHAW, SABRINA M **DALLAS, PA** **YOB 1983**
PcD on 9/13/2021
FINED: \$100
FAILURE TO REPORT EQUIPMENT CHANGE

SHEPHERD, PATRICK **WATERDOWN, ON** **YOB 1992**
Nfld on 6/8/2021
RESTORED TO GOOD STANDING(RES) AS OF
9/8/2021

OTHER

SIEGELMAN, AUSTIN T **KINGSTON, PA** **YOB 1992**
YR on 9/14/2021
FINED: \$100
FAILURE TO DRIVE WHEN PROGRAMMED

SMITH, TREVOR GENE **WASHINGTON CH, OH** **YOB 1996**
ScD on 9/9/2021
FINED: \$200
HORSE PLACED 7
CHANGING COURSE/CAUSING INTERFERENCE

SMITH, TYLER ALAN **WASHINGTON COURT HOU, OH** **YOB 1993**
ScD on 9/11/2021
FINED: \$200
HORSE PLACED 4

INSIDE THE EURO RAIL / PYLON VIOLATION

STALBAUM, LARRY D **MATAMORAS, PA** **YOB 1963**
Stga on 9/8/2021
FINED: \$100
FAILURE TO DRIVE WHEN PROGRAMMED

TELFER, JASON A **SELMA, IA** **YOB 1984**
Humb on 9/11/2021
FINED: \$50
INSIDE THE EURO RAIL / PYLON VIOLATION

VEACH, JAY L **DELAWARE, OH** **YOB 1966**
Nfld on 8/18/2021
RESTORED TO GOOD STANDING(RES) AS OF
9/13/2021

OTHER

WELCH, ROGER S **BEECHER, IL** **YOB 1963**
HoP on 9/5/2021
FINED: \$50
LATE DRIVER CHANGE

WRENN, RONNIE H JR. **CHAGRIN FALLS, OH** **YOB 1986**
Nfld on 9/5/2021
FINED: \$100
INSIDE THE EURO RAIL / PYLON VIOLATION

