

# FINES AND SUSPENSIONS BULLETIN

Fri, Oct 29 - Thu, Nov 4

## PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

\*\*\* NO RECORDS FOUND \*\*\*

## PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

**BELT, RAY C** **SOUTH CHARLESTON, OH** **YOB 1954**  
**ScD** on 9/14/2021 **FINED: \$250**

### LOW OR EXCESS LEVEL OF AUTHORIZED MEDICATION

Scioto Downs, Trainer Ray Belt is hereby fined two hundred fifty dollars (\$250.00) for violation of Ohio State Racing Commission Rule 3769-18-01(B)(1). Blood Sample #897144 taken from the horse HANNAHSBOY KEN WIN and tested by the Analytical Toxicology Laboratory at the Ohio Department of Agriculture on October 7, 2021 showed the presence of flunixin at a concentration of 34.2 +/- 5 nanograms/milliliter of plasma, which exceeds the O.S.R.C. regulatory threshold of 20 nanograms/ml of plasma. If the fine is not paid by 11/10/2021 you may be suspended and or license revoked by the O.S.R.C

**CARTER, WILLIAM D** **PHILADELPHIA, PA** **YOB 1971**  
**PHL** on 8/25/2021 **FINED: \$500**

**FULL - 15 DAYS, 10/27/2021 THRU 11/10/2021**  
**HORSE DISQUALIFIED - PLACED 8, PURSE**  
**REDISTRIBUTION**

### POSITIVE TEST- POST RACE

PA Code Title 7-401.2(a)(1) 401.3(a) 401.3(b) 401.51 203.22(a) 401.45 401.46 Mr. Carter was the trainer of the horse BENEVOLENCE for the 12th race on 8/25/2021 at Harrah's Philadelphia. Blood samples drawn (post race) from BENEVOLENCE were tested and found to contain Hydroxy Lidocaine. As the trainer, Mr. Carter is responsible for the positive test. BENEVOLENCE finished 1st, but has been disqualified. Purse money earned (\$5,400) must be returned within ten days of ruling. Mr. Carter is issued a \$500 fine (fines are due within ten days of ruling) and a fifteen (15) day full suspension (October 27, 2021 up to and including November 10, 2021). Mr. Carter has waived his hearing and appeal rights. During the time of the suspension, all horses owned and/or trained by Mr. Carter shall also be suspended unless transferred to a trainer in good standing. Any owner/trainer transfers by Mr. Carter must be approved by the PA State Horse Racing Commission.

**CLEGG, BETTY JO** **NORTHFIELD, OH** **YOB 1973**

PHL on 10/18/2021

RESTORED TO GOOD STANDING(RES) AS OF  
11/2/2021

**FAILURE TO OBTAIN PROPER LICENSE**

PA Code Title 7- 203.11(a) 203.11(e) 203.23(b) Failure to complete Pennsylvania license requirements. The penalties are a \$50 fine (fines are due within ten days of ruling) and an indefinite full suspension (beginning October 29, 2021). Appeals are due within ten days of ruling and must be submitted in writing to: The Pennsylvania State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA 17110 ATTN: Anthony Salerno

***Status as of 11/2/2021 - Subsequent Ruling - Restored to good standing.***

**ERZENE, ETERNITY E**

PcD on 7/7/2020

**PEMBERTON, NJ**

RESTORED TO GOOD STANDING(RES) AS OF  
11/2/2021

**YOB 1997**

**FAILURE TO OBTAIN PROPER LICENSE**

PA Title 7-203.11(e) Eternity Erzene has failed to complete licensing requirements in Pennsylvania for 2020. There is a \$ 50.00 fine and an indefinite suspension until the fine is paid and the licensing requirements are completed.

***Status as of 11/2/2021 - Subsequent Ruling - Restored to good standing.***

**GARCIA-HERRERA, GILBERTO**

PcD on 9/27/2021

**COLUMBUS, NJ**

FINED: \$1,000  
PURSE REDISTRIBUTION

**YOB 1962**

**POSITIVE TEST- POST RACE**

PA Code 7- 203.22 401.3(a)(b) Sample #375145 collected from the horse The Bus A after race #3 on 9/27/21 was found to contain triamcinolone acetonide. This is in violation of the Pennsylvania medication rules. Mr. Garcia is fined \$1000.00 and must there is a loss of the purse(\$1020.00). Mr. Garcia waived his right to a hearing and/or an appeal

**GINGERICH, AMOS M**

PHL on 11/1/2021

**ULYSSES, PA**

FINED: \$50  
INDEFINITE SUSPENSION(IND) AS OF  
11/12/2021

**YOB 1988**

**FAILURE TO OBTAIN PROPER LICENSE**

PA Code Title 7- 203.11(a) 203.11(e) 203.23(b) Failure to complete Pennsylvania license requirements. The penalties are a \$50 fine (fines are due within ten days of ruling) and an indefinite full suspension (beginning November 12, 2021). Appeals are due within ten days of ruling and must be submitted in writing to: The Pennsylvania State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA 17110 ATTN: Anthony Salerno

**LAREAU, GASTON**

PcD on 9/21/2021

**POMPANO BEACH, FL**

FINED: \$50  
INDEFINITE SUSPENSION(IND) AS OF 11/2/2021

**YOB 1961**

**FAILURE TO OBTAIN PROPER LICENSE**

PA Title 7-203.11(e) Mr. Lareau has failed to complete his licensing in Pennsylvania for 2021. He is fined \$50.00 and suspended indefinitely starting on 11/2/2021.

**MILLETTE, JILL S**

**Nfld** on 9/20/2021

**NEW LONDON, OH**

FINED: \$1,000

HORSE DISQUALIFIED, PURSE

REDISTRIBUTION

**YOB 1963**

**POSITIVE TEST- POST RACE**

Urine sample #881033 collected at Northfield Park on 9/20/21 from the horse Some Heart Throb was found to contain Methocarbamol at a concentration of 10.9+-0.5 micrograms per milliliter of urine. This exceeds the Regulatory Threshold of 1.0 microgram per milliliter adopted by the OSRC. All purse money must be returned for redistribution (\$2,600.00). O.S.R.C.-3769-18-01(B)(10)&(13) 18-02 18-99 If the fine is not paid to the judges by 11/11/21 you shall be suspended and your license may be revoked by the commission. If the purse money is not returned by 11/11/21 the owner shall stand suspended until all purse money has been returned to the permit holder. Horses owned wholly or in part by the owner shall also be suspended and are not transferable until the purse money has been returned.

**RHOADES, BILL**

**Nfld** on 9/26/2021

**NORTHFIELD, OH**

WARNING ISSUED

**YOB 1987**

**POSITIVE TEST- POST RACE**

Blood sample #881068 collected at Northfield Park on 9/26/21 was found to contain Omeprazole Sulfide at a concentration of 79+-13 nanograms per milliliter of plasma. This exceeds the Regulatory Threshold of 10 nanograms per milliliter adopted by the OSRC. O.S.R.C.-3769-18-01(B)(10) 18-02 18-99

**ROWE, MARK P**

**Cnfl** on 9/6/2021

**URBANA, OH**

FINED: \$500

**YOB 1965**

**LOW OR EXCESS LEVEL OF AUTHORIZED MEDICATION**

Mahoning County (Canfield, OH) Fair, Trainer Mark Rowe is hereby fined five hundred dollars (\$ 500.00) for violation of Ohio State Racing Commission Rule 3769-18-01(B)(1). Blood Sample #891201 taken from the horse DIRTY STEVE and tested by the Analytical Toxicology Laboratory at the Ohio Department of Agriculture on October 1, 2021 showed the presence of furosemide at a concentration of 165 +/- 8 nanograms/milliliter of plasma, which exceeds the O.S.R.C. regulatory threshold of 100 nanograms/ml of plasma. This fine has been paid.

**SERGI, MICHAEL**

**PcD** on 9/12/2021

**CANTON, NY**

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF 11/2/2021

**YOB 1980**

**FAILURE TO OBTAIN PROPER LICENSE**

PA Code 7-203.11(e) Mr. Sergi has failed to complete his licensing requirements in Pennsylvania for 2021. He is fined \$50.00 and suspended indefinitely starting on 11/2/21.

**SERGI, SAM**

**PcD** on 9/12/2021

**CANTON, NY**

FINED: \$50

**YOB 1942**

INDEFINITE SUSPENSION(IND) AS OF 11/2/2021

**FAILURE TO OBTAIN PROPER LICENSE**

PA title 7- 203.11(e) Mr. Sergi has failed o complete his licensing requirements in Pennsylvania for 2021. He is fined \$50.00 and suspended indefinitely starting on 11/2/21.

**STAFFORD, JEFFREY T**

**PHL** on 10/22/2021

**FELTON, DE**

FINED: \$500

**YOB 1969**

**IMPROPER CONDUCT OR LANGUAGE TO AN OFFICIAL**

PA Code Title 7-205.451 205.501(7) 205.501(10) Mr. Stafford directed offensive language at a racing official in the Harrah`s Philadelphia paddock on October 22, 2021. Mr. Stafford was also guilty of disturbing the peace with his loud and inappropriate tirade. Mr. Stafford is issued a \$500 fine (fines are due within 10 days of ruling) and a ten (10) day full suspension. The ten day suspension will be held in abeyance and will only be served if Mr. Stafford commits a similar offense (in this or any other jurisdiction) within the next two years (through November 1, 2023). Mr. Stafford`s past record was considered an aggravating factor when determining this penalty. Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a \$750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Rm 304, Harrisburg, PA 17110 ATTN: Anthony Salerno

**STOHLER, TRENT P**

**HoP** on 10/2/2021

**MARKELVILLE, IN**

FINED: \$1,000

**YOB 1969**

HORSE DISQUALIFIED - PLACED 8, PURSE REDISTRIBUTION

**POSITIVE TEST- POST RACE**

71 IAC 5-3-2(a)(2) ;71 IAC 8-1-2(a) Sample #E474623 from the horse PEKESON N after race 5 on 10/2/2021 tested positive for Aminocaproic acid (Amicar). This is a violation of IHRC medication rules, and the trainer responsibility rules. Mr. Stohler as trainer of record is fined \$1000.00 and in addition all purse monies (\$6,250.00) earned by PEKESON N must be returned and redistributed.

**PART THREE - WHIPPING VIOLATIONS**

**BECKWITH, BRETT M**

**Stga** on 10/31/2021

**WILTON, NY**

FINED: \$100

**YOB 2003**

**FAILURE TO KEEP A LINE IN EACH HAND**

Mr. Beckwith while driving #7 ART HISTORY in the 9th Race at Saratoga Harness on October 31, 2021, failed to keep a line in each hand after the 1/2. The fine is \$100 (1st offense). Further whipping violations will result in greater penalties.

**CUSHING, MITCHELL D**

**ALBION, ME**

**YOB 1998**

**DTN** on 10/25/2021

**FINED: \$300**

**EXCESSIVE OR INDISCRIMINATE USE OF WHIP**

Hollywood Dayton, Driver Mitch Cushing is hereby fined the sum of three hundred dollars (\$300.00) for violation of Ohio State Racing Commission Rule 3769-17-17(B)(C). While driving No. 4 SPORTSTER HANOVER in the ninth race on October 25, 2021 at Hollywood Dayton, Mr. Cushing did use his arm in an exaggerated manner and used more than his wrist and elbow during the final quarter of the mile. If the fine is not paid to the judges by 11/10/2021 you may be suspended and or license revoked by the OSRC.

**FLUET, PHILLIP JAMES**

**SARATOGA SPRINGS, NY**

**YOB 1978**

**Stga** on 10/31/2021

**FINED: \$250**

**INAPPROPRIATE USE OF THE WHIP**

Mr. Fluet while driving #4 KEEPAMERICAGREAT in the 8th Race at Saratoga Harness on October 31, 2021, did use his whip in an inappropriate manner in the stretch. The fine is \$250 (3rd offense). Further whipping violations will result in greater penalties.

**HARDY, JAMES E**

**NORTH ATTLEBORO, MA**

**YOB 1964**

**PRC** on 10/25/2021

**FINED: \$100**

**USING THE WHIP IN UNCONVENTIONAL MANNER**

USTA 18.08 (c),(3). On 10/25/2021 at PRC, while driving No.5 BUMPAH STICKAH in Race 8, driver James Hardy was observed whipping his horse above the shoulder height in the stretch. (1st offense). As a result of violation, Mr. Hardy is here by fined \$100.00. Subsequent violations may result in additional penalties. All fines are due within 48 hours of imposition.

**MACDONALD, ANTHONY A**

**GUELPH, ON**

**YOB 1976**

**Mea** on 10/27/2021

**FINED: \$200**

**INAPPROPRIATE USE OF THE WHIP**

PA CODE TITLE 7-205.409 (C). While driving #3 Imalovelylady during the stretch in race 5 on 10/27/2021 at the Meadows, Mr. Mac Donald did whip the horse using other than elbow and wrist action. Mr. MacDonald is fined \$200 which is due within 10 days of this ruling. Mr. MacDonald has 10 days to appeal this decision to the Pennsylvania SHRC, Bureau of Standardbred Racing.

**MONTI, ANDREW**

**DEPEW, NY**

**YOB 1994**

**PRC** on 10/28/2021

**FINED: \$100**

**FAILURE TO KEEP A LINE IN EACH HAND**

USTA 18.08 (c)(1). On 10/28/2021 at PRC, while driving No.1 TISADREAM in Race 8, driver Drew Monti was observed whipping one handed in the stretch. As a result of the violation Mr. Monti is hereby fined \$100.00. Subsequent violations may result in additional penalties. All fines are due within 48 hours of imposition.

**MYERS, HUNTER R** **WILLIAMSPORT, OH** **YOB 1998**  
Nfld on 10/25/2021 FINED: \$300  
**INAPPROPRIATE USE OF THE WHIP**  
While driving #5 Artsmah in race 2 on 10/25/21 he whipped the horse after the finish.  
O.S.R.C.-3769-17-17(l) 17-99 If the fine is not paid to the judges by 11/7/21 you shall be suspended and your license may be revoked by the commission.

#### PART FOUR - MINOR PENALTIES

**ABBATIELLO, ERIC L** **EAST WINDSOR, NJ** **YOB 1968**  
Fhld on 10/29/2021 FINED: \$50  
**TALKING ON THE RACE TRACK**

**ANDERSEN, KENNETH N** **COLUMBUS, NJ** **YOB 1954**  
PHL on 10/31/2021 FINED: \$200  
**LEAVING HORSE UNATTENDED**

**BARKER, SHAWN D II** **CHILLICOTHE, OH** **YOB 1991**  
DTN on 10/26/2021 FINED: \$150  
**FAILURE TO DRIVE WHEN PROGRAMMED**

**BATES, BRANDON L** **MONROE, IN** **YOB 1975**  
HoP on 10/6/2021 FULL - 10 DAYS, 11/4/2021 THRU 11/13/2021  
**OTHER**

**BAYNES, RAY** **FREEHOLD, NJ** **YOB 1956**  
Fhld on 10/8/2021 FINED: \$200  
**TAKE UP ABRUPTLY/SIT DOWN IN FRONT OF HORSES CAUSING CONFUSION AMONG TRAILING HORSES**

**BECKWITH, BRETT M** **WILTON, NY** **YOB 2003**  
PRc on 10/28/2021 FINED: \$100  
HORSE PLACED 4  
**INSIDE THE EURO RAIL / PYLON VIOLATION**

**BEYER, TROY C** **SOUTHAMPTON, NJ** **YOB 1995**  
Fhld on 10/29/2021 FINED: \$50  
**TALKING ON THE RACE TRACK**

**CISCO, SCOTT R** **BLOOMINGBURG, OH** **YOB 1979**  
DTN on 10/21/2021 FINED: \$200  
HORSE PLACED 5  
**INSIDE THE EURO RAIL / PYLON VIOLATION**

<b>GRABER, HENRY JR.</b> HoP on 10/6/2021 <b>NO VACCINATION / COGGINS / HEALTH CERTIFICATE</b>	<b>MONROE, IN</b> FINED: \$1,000	<b>YOB 1996</b>
<b>HANNERS, LUKE</b> Fhld on 10/29/2021 <b>TALKING ON THE RACE TRACK</b>	<b>HARRINGTON, DE</b> FINED: \$50	<b>YOB 2000</b>
<b>MANN, WILLIAM P</b> DTN on 10/26/2021 <b>FAILURE TO DRIVE WHEN PROGRAMMED</b>	<b>HELLERTOWN, PA</b> FINED: \$50	<b>YOB 1976</b>
<b>MONTI, ANDREW</b> PRc on 10/28/2021 <b>FAILURE TO KEEP BOTH FEET IN THE STIRRUPS</b>	<b>DEPEW, NY</b> FINED: \$100	<b>YOB 1994</b>
<b>PELLING, JACK W</b> Fhld on 10/29/2021 <b>TALKING ON THE RACE TRACK</b>	<b>CREAM RIDGE, NJ</b> FINED: \$50	<b>YOB 1995</b>
<b>PRESTO, BRANDON J</b> Nfld on 10/24/2021 <b>FAILURE TO PROVIDE ADEQUATE OR PROPER DECLARATION INFORMATION</b>	<b>WASHINGTON, PA</b> FINED: \$50	<b>YOB 1989</b>
<b>ROBERTS, JONATHAN W</b> RcR on 10/31/2021 <b>FAILURE TO KEEP BOTH FEET IN THE STIRRUPS</b>	<b>HARRINGTON, DE</b> FINED: \$100	<b>YOB 1981</b>
<b>SIEGELMAN, AUSTIN T</b> Fhld on 10/16/2021 <b>FAILURE TO COME UP/STAY IN POSITION</b> Fhld on 10/29/2021 <b>TALKING ON THE RACE TRACK</b> Fhld on 10/29/2021 <b>FAILURE TO DRIVE WHEN PROGRAMMED</b>	<b>KINGSTON, PA</b> FINED: \$50 FINED: \$50 FINED: \$50	<b>YOB 1992</b>
<b>SIMMONS, BENITA R</b> HoP on 10/8/2021 <b>NO VACCINATION / COGGINS / HEALTH CERTIFICATE</b>	<b>SPRINGFIELD, IL</b> FINED: \$500	<b>YOB 1964</b>
<b>SMITH, JEREMY R</b> DTN on 10/21/2021 <b>PASSING LANE VIOLATION</b>	<b>WASHINGTON CH, OH</b> FINED: \$200 HORSE PLACED 6	<b>YOB 1980</b>

<b>SMITH, JOEL EUGENE</b> HoP on 10/19/2021 <b>UNAUTHORIZED START IN ANOTHER RACE</b>	<b>ANDERSON, IN</b> FINED: \$250	<b>YOB 1956</b>
<b>SMITH, TYLER ALAN</b> DTN on 10/23/2021 <b>PASSING LANE VIOLATION</b>	<b>WASHINGTON CH, OH</b> FINED: \$200 HORSE PLACED 5	<b>YOB 1993</b>
<b>SOWERS, PHILIP BO</b> MR on 10/28/2021 <b>OTHER</b>	<b>MONTICELLO, NY</b> FINED: \$100	<b>YOB 1971</b>
<b>SVANSTEDT, AKE</b> PHL on 10/28/2021 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>WRIGHTSTOWN, NJ</b> FINED: \$200 HORSE PLACED 6	<b>YOB 1958</b>
<b>THOMPSON, JASON E</b> RcR on 10/31/2021 <b>DELAYING THE POST PARADE</b>	<b>HARTLEY, DE</b> FINED: \$100	<b>YOB 1993</b>
<b>WRENN, RONNIE H JR.</b> Nfld on 10/26/2021 <b>FAILURE TO DRIVE WHEN PROGRAMMED</b>	<b>CHAGRIN FALLS, OH</b> FINED: \$100	<b>YOB 1986</b>