FINES AND SUSPENSIONS BULLETIN

Fri, Nov 12 - Thu, Nov 18

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

*** NO RECORDS FOUND ***

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the racing commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Sections 7 and 8 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with these published penalties. Please note that the following action is in an abbreviated form and that the USTA is not responsible for the accuracy or timeliness of reported penalties. Further information may be available by contacting the racing commission where the ruling was issued to determine the accuracy of the information.

BROSS, DOYLE L Dela on 9/23/2021

WEST PORTSMOUTH, OH

YOB 1954

FINED: \$1,000 HORSE DISQUALIFIED, PURSE REDISTRIBUTION

APPEALED - 11/16/2021

POSITIVE TEST- POST RACE

Blood sample #890583 collected at the Delaware County Fair on 9/23/21 from the horse MJ`SLIBERTYVALANCE was found to contain dexamethasone at a concentration of 43 +/-2 picograms per milliliter of plasma. This exceeds the Regulatory Threshold of 5 picograms per milliliter adopted by the OSRC. All purse money must be returned for redistribution (\$2,000.00). O.S.R.C.-3769-18-01(B)(10)&(13) 18-02 18-99 If the fine is not paid to the judges by 11/23/21 you may be suspended and/or license revoked by the commission. **Status as of 11/16/2021 - Appeal - Trainer Doyle Bross has appealed in a timely manner.**

BROWN, BRIAN D Dela on 9/23/2021 **OSTRANDER, OH**

YOB 1964

FINED: \$1,000 HORSE DISQUALIFIED, PURSE REDISTRIBUTION

APPEALED - 11/16/2021

POSITIVE TEST- POST RACE

Blood sample #890594 collected at the Delaware County Fair on 9/23/21 from the horse GULF SHORES was found to contain dexamethasone at a concentration of 63 +/- 1 picograms per milliliter of plasma. This exceeds the Regulatory Threshold of 5 picograms per milliliter adopted by the OSRC. All purse money must be returned for redistribution (\$66,333.50). O.S.R.C.-3769-18-01(B)(10)&(13) 18-02 18-99 If the fine is not paid to the judges by 11/23/21 you may be suspended and/or license revoked by the commission. Status as of 11/16/2021 - Appeal - Trainer Brian Brown has appealed in a timely manner.

GILLUM, ART JR

JAMESTOWN, OH

YOB 1961

ScD on 9/6/2021

FINED: \$1,000 HORSE DISQUALIFIED, PURSE REDISTRIBUTION

APPEALED - 11/18/2021

POSITIVE TEST- POST RACE

Scioto Downs, Trainer Art Gillum, Jr. is hereby fined one thousand dollars (\$1,000.00) and ordered to return the purse of three thousand dollars (\$3,000.00) for violation of Ohio State Racing Commission Rule 3769-18-01(B)(1). Blood Sample #897077 taken from the horse ILIKETHEMTRASHY, a gelding, and tested by the Analytical Toxicology Laboratory at the Ohio Department of Agriculture on October 1, 2021 showed the presence of Altrenogest. If the fine is not paid and purse returned to the judges by 11/22/2021 you may be suspended and or license revoked by the O.S.R.C

Status as of 11/18/2021 - Appeal - Trainer Art Gillum, Jr. has appealed in a timely manner.

GOLDIN, ALEXANDRA

PHL on 8/29/2021

NEW EGYPT, NJ

YOB 1991

FINED: \$1,000 HORSE DISQUALIFIED - PLACED 8, PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE

PA Code Title 7- 203.22(a) 401.2(a)(1) 401.3(a) 401.3(b) 401.45 401.46 401.51(a) 203.22(b) Ms. Goldin was the trainer of the horse PREPPY ART for the 1st race on August 29, 2021 at Harrah`s Philadelphia. Blood samples drawn(post race) from PREPPY ART were tested and found to contain Altrenogest. A split sample was tested, by Industrial Laboratories, and the presence of Altrenogest was confirmed. As the trainer, Ms. Goldin is responsible for the positive test. PREPPY ART is disqualified and the purse money earned (\$3,600) must be returned (within ten days of ruling). Ms. Goldin is fined \$1,000 (fines are due within 10 days of ruling). Appeals are due within ten days of ruling and must be submitted in writing (accompanied by a \$750 bond) to: The PA State Horse Racing Commission, 2301 N. Cameron St., Room 304, Harrisburg, PA 17110 ATTN: Anthony Salerno

MILLER, JULIE E
Dela on 9/23/2021

MILLSTONE TWP, NJ

YOB 1972

FINED: \$1,000 HORSE DISQUALIFIED, PURSE REDISTRIBUTION APPEALED - 11/9/2021

POSITIVE TEST- POST RACE

Blood sample #890592 collected at the Delaware County Fair on 9/23/21 from the horse Sunny Crockett was found to contain dexamethasone at a concentration of 67+-2 picograms per milliliter of plasma. This exceeds the Regulatory Threshold of 5 picograms per milliliter adopted by the OSRC. All purse money must be returned for redistribution (\$43,850.00). O.S.R.C.-3769-18-01(B)(10)&(13) 18-02 18-99 If the fine is not paid to the

judges by 11/19/21 you shall be suspended and your license may be revoked by the commission.

Status as of 11/9/2021 - Appeal

SCHWARTZ, ALAN S

HURLEYVILLE, NY

YOB 1945

MR on 10/27/2021

FINED: \$500

FULL - 3 DAYS, 12/13/2021 THRU 12/15/2021

LOW OR EXCESS LEVEL OF AUTHORIZED MEDICATION

Alan Schwartz as trainer of record for the horse "RACEACE" winner of the 4th race on October 27, 2021 is hereby suspended for 7 days and fined \$1000. Post-race analysis of the sample submitted resulted in a positive finding of METHOCARBAMOL at a higher level than the allowable threshold of 1 Ng/ml. "RACEACE" finished 1st is hereby disqualified and placed 8th. All purse monies paid must be returned and redistributed. Having appeared before a representative of the NYSGC and waiving the right to formal hearing and appeal the suspension is hereby reduced to 3 days and the fine is reduced to \$500. The horse "RACEACE" will be required to submit a negative sample for the above mentioned substance before being allowed to participate in further racing in the State of New York. During the term of suspension Mr. Schwartz will be denied the priviledge of and access to all grounds under the jurisdiction of the NYSGC. All horses owned or trained by Mr. Schwartz shall be denied the priviledge of par

SCHWARTZ, BRET M

WASHINGTON CH, OH

YOB 1972

Dela on 9/23/2021

FINED: \$1,000

HORSE DISQUALIFIED, PURSE

REDISTRIBUTION

APPEALED - 11/16/2021

POSITIVE TEST- POST RACE

Blood sample #890584 collected at the Delaware County Fair on 9/23/21 from the horse FLUFF YEAHH was found to contain dexamethasone at a concentration of 16 +/- 1 picograms per milliliter of plasma. This exceeds the Regulatory Threshold of 5 picograms per milliliter adopted by the OSRC. All purse money must be returned for redistribution (\$8,750.00). O.S.R.C.-3769-18-01(B)(10)&(13) 18-02 18-99 If the fine is not paid to the judges by 11/23/21 you may be suspended and/or license revoked by the commission. Status as of 11/16/2021 - Appeal - Trainer Bret Schwartz has appealed in a timely manner.

PART THREE - WHIPPING VIOLATIONS

BARKER, SHAWN D II

CHILLICOTHE, OH

YOB 1991

DTN on 11/6/2021

FINED: \$300

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Hollywood Dayton, Driver Shawn Barker II is hereby fined the sum of three hundred dollars (\$300.00) for violation of Ohio State Racing Commission Rule 3769-17-17(b)(c). While

driving No. 4 HELL ON WHEELS in the fourteenth race on November 6, 2021 at Hollywood Dayton, Mr. Barker did use his arm in an exaggerated manner and used more than his wrist and elbow several times during the mile. If the fine is not paid to the judges by 11/25/2021 you may be suspended and or license revoked by the OSRC.

HANNERS, LUKE

HARRINGTON, DE

YOB 2000

Stga on 11/14/2021

FINED: \$100

INAPPROPRIATE USE OF THE WHIP

Mr. Hanners while driving #4 ROCKIN THE ARENA in the 7th Race at Saratoga Harness on November 14, 2021, did whip his horse after the finish. The fine is \$100. Further whipping violations will result in greater penalties.

JOHNSTON, SHAWN P

EVANS CITY, PA

YOB 1993

Mea on 11/16/2021

FINED: \$200

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

PA CODE TITLE 7-205.411 (a). While driving #8 Amelia B Hanover during the fourth quarter in race 2 on 11/16/21 at the Meadows, Mr. Johnston did whip the horse excessively. Mr. Johnston is fined \$200 which is due within 10 days of this ruling. Mr. Johnston has 10 days to appeal this decision to the Pennsylvania SHRC, Bureau of Standardbred Racing.

PETERSON, MICHAEL G

ANDERSON, IN

YOB 1978

HoP on 11/6/2021

FINED: \$200

INAPPROPRIATE USE OF THE WHIP

71IAC 7-3-13(b) While driving SKYWAY TROOPER in the third race on 11/06/2021, driver Mike Peterson did whip using other than wrist action

SWITZER, KEVIN P JR

E WATERBORO, ME

YOB 1987

CUMB on 11/17/2021

FINED: \$125

FAILURE TO KEEP A LINE IN EACH HAND

FAILURE TO KEEP A REIN IN EACH HAND

PART FOUR - MINOR PENALTIES

BERRY, PATRICK D

HARRINGTON, DE

YOB 1976

DD on 11/16/2021

FINED: \$100

HORSE PLACED 3

INSIDE THE EURO RAIL / PYLON VIOLATION

DAVIS. BILLY J JR

GUELPH, ON

YOB 1986

Nfld on 11/13/2021

FINED: \$100

INSIDE THE EURO RAIL / PYLON VIOLATION

DOWSE, GARETH W
MR on 11/16/2021 SWIFTWATER, PA **YOB 1982** FINED: \$100 FAILURE TO DRIVE WHEN PROGRAMMED HALL, TONY A WASHINGTON, PA **YOB 1978 DTN** on 11/8/2021 FINED: \$200 **HORSE PLACED 6 INSIDE THE EURO RAIL / PYLON VIOLATION** MERRIMAN, AARON E NORTHFIELD, OH **YOB 1978 Nfld** on 11/10/2021 FINED: \$100 LATE TO THE PADDOCK MILLER, DAVID S CREAM RIDGE, NJ **YOB 1964 PHL** on 11/12/2021 FINED: \$200 **INSIDE THE EURO RAIL / PYLON VIOLATION Stga** on 11/14/2021 RANDALL, JAY L **BALLSTON SPA, NY YOB 1961** FINED: \$100 FAILURE TO DRIVE WHEN PROGRAMMED **YOB 1963** ROLLINS, SCOTT L CRANBURY, NJ **PRc** on 11/14/2021 FINED: \$100 LATE TO THE LASIX/ DETENTION BARN RYDER, PATRICK J ALLENTOWN, NJ **YOB 1994** DRIVING - 3 DAYS, 11/11/2021 THRU 11/13/2021 **PHL** on 11/7/2021 HORSE PLACED 6 CHANGING COURSE/CAUSING INTERFERENCE SCHWARTZ, BRET M WASHINGTON C H, OH **YOB 1972 UpSan** on 9/16/2021 FINED: \$300 **FAILURE TO HONOR DECLARATION** SWITZER, KEVIN P JR **YOB 1987** E WATERBORO, ME FINED: \$400 FAILURE TO KEEP BOTH FEET IN THE STIRRUPS VINCENT, JUSTIN OWEN HARRINGTON, DE **YOB 1987** RcR on 11/14/2021 FINED: \$100 SLOW QUARTER/HALF **WILSON, ROY G YOB 1971** LEBANON, OH

FINED: \$200

HORSE PLACED 7

DTN on 11/8/2021

INSIDE THE EURO RAIL / PYLON VIOLATION

WILSON, STEVEN FARMINGTON, ME
CUMB on 11/16/2021 FINED: \$100
FAILURE TO SET AND MAINTAIN A PROPER PACE

YOB 1991